

Healthcare Integrity and Protection Data Bank

2001 Annual Report



**U.S. Department of Health and Human Services
Health Resources and Services Administration
Bureau of Health Professions
Division of Practitioner Data Banks
7519 Standish Place, Suite 300
Rockville, Maryland 20857**



Requests for copies of this report and information on the Healthcare Integrity and Protection Data Bank should be directed to the Customer Service Center at 1-800-767-6732. This report and other information are available on the World Wide Web at <http://www.npdb-hipdb.com>.

Healthcare Integrity and Protection Data Bank

2001 Annual Report

Contents

INTRODUCTION: THE HIPDB PROGRAM.....1

 Administration and Operation of the HIPDB Program1

 The Role of the HIPDB1

 Requesting Information from the HIPDB2

 Querying Fees2

 Confidentiality of HIPDB Information2

 Accuracy of HIPDB Information3

 2001 HIPDB Goals, Improvements, and Outcomes3

REPORTS.....4

 Statistical Summary.....4

 Queriers and Reporters.....5

 Matches5

 Disputes and Secretarial Reviews5

CONCLUSION5

STATISTICAL APPENDIX6

Healthcare Integrity and Protection Data Bank 2001 Annual Report



INTRODUCTION: THE HIPDB PROGRAM

The Healthcare Integrity and Protection Data Bank (HIPDB) has maintained reports of final adverse actions (health-care related civil judgments, criminal convictions, licensing and certification actions, exclusions from Federal and State health care programs, and other adjudicated actions) since opening on November 22, 1999. This report highlights the HIPDB's activities and accomplishments during 2001 by reviewing the goals, improvements, and outcomes realized and presenting program statistics.

The HIPDB was established under Section 1128E of the Social Security Act as added by Section 221(A) of the Health Insurance Portability and Accountability Act of 1996 (the HIPAA). Enacted August 21, 1996, the HIPAA authorized the Secretary of Health and Human Services (DHHS), acting through the Office of the Inspector General (OIG) of DHHS and the United States Attorney General, to create the HIPDB. This national health care fraud and abuse data collection program contains reports of certain final adverse actions taken against health care providers, suppliers, and practitioners. The HIPDB was intended to combat fraud and abuse in health insurance and health care delivery and to promote quality care. It is a flagging system that may serve to alert users that a more comprehensive review of a practitioner's, provider's or supplier's actions may be prudent. Federal and State agencies, including prosecutors and investigative agencies, and health plans must report health-care related civil judgments, criminal convictions, licensing and certification actions, exclusions from Federal and State health care programs, and other adjudicated actions.

Administration and Operation of the HIPDB Program

The DHHS OIG and the Department of Justice are responsible for the program. Under an Interagency Memorandum of Understanding, the Division of Practitioner Data Banks (DPDB), Health Resources and Services Administration (HRSA), formerly the Division of Quality Assurance, assumed responsibility to develop and maintain the HIPDB. A contractor, SRA International, Inc. (SRA), operates the HIPDB computer systems and related functions.

The Integrated Querying and Reporting System (IQRS), started November 22, 1999 and updated in 2000 and 2001, allows reporting and querying of both the National Practitioner Data Bank (NPDB) and HIPDB through the Internet. In addition, SRA operates the HIPDB and NPDB Customer Service Center that provides a toll-free telephone number for Data Bank users.

The Role of the HIPDB

The HIPDB is a central repository of information for: (1) health care-related civil judgments taken in Federal or State court; (2) health care-related criminal convictions taken in Federal or State court; (3) Federal or State licensing and certification actions, including revocations, reprimands, censures, probations, suspensions, and any other loss of

license, or the right to apply for or renew a license, whether by voluntary surrender, non-renewal, or otherwise; (4) exclusions from participation in Federal and State health care programs; (5) any other adjudicated actions or decisions defined by regulation. The information is intended to augment and verify (not replace) other sources of information. The HIPDB was designed as a flagging system; it was not designed to collect and disclose the full record concerning reports of incidents or actions. Mandated HIPDB reporters must report all final adverse actions taken on or after August 21, 1996, the date of passage of the HIPDB legislation. As reports accumulate over time, the value of the HIPDB as an information source will continue to rise.

Requesting Information from the HIPDB

Information reported to the HIPDB is made available upon request to registered entities eligible to query, such as Federal and State government agencies and health plans. Criminal justice authorities, government investigators, prosecutors, Federal and State government agencies and health plans may request information from the HIPDB (“query”). Practitioners, providers and suppliers may request information about themselves from the HIPDB (self-query) at any time, for any purpose.

Criminal justice authorities, government investigators, and prosecutors may query the HIPDB to further their investigations on health care practitioners, providers and suppliers. Federal and State prosecutors, such as the Federal Bureau of Investigation, may also use HIPDB information in making decisions to accept plea agreements or in making sentencing recommendations to the court. Other government agencies may query the HIPDB with respect to credentialing, licensing, or certification of health care practitioners, providers, and suppliers.

Health plans may have a variety of reasons for querying the HIPDB, principally in relation to credentialing or contracting with practitioners, providers, and suppliers. They may also query the HIPDB to investigate potential fraudulent and abusive activity related to the payment or delivery of health care services. HIPDB information may be used by the health plan's parent organization to pursue civil actions against a specific practitioner, provider, or supplier.

Researchers and the public can request statistical data only.

Querying Fees

User fees are charged for all queries for HIPDB information submitted by non-Federal agencies and health plans and for self-queries submitted by health care practitioners, providers, or suppliers. Section 221(a) of the HIPAA exempts Federal entities from paying these fees. All other queriers are required to pay a fee for each name about which information is requested. The entity query fee is \$5 per name for queries submitted via the IQRS and paid for electronically. Self-queries cost \$10 because of the manual processing required. All query fees must be paid by credit card or through prior arrangement for automated electronic funds transfer at the time of the submission.

Confidentiality of HIPDB Information

The HIPAA specifies that information reported to the HIPDB is confidential and is not disclosed except as specified in the HIPDB regulations (45 CFR Part 61). Persons or entities receiving information from the HIPDB either directly or indirectly are subject to the confidentiality provisions of Section 221(a) of the HIPAA and of the Privacy Act of 1974. The HIPDB was established by a system of records subject to the Privacy Act. Section 552(I)(3) of the Privacy Act protects the contents of systems of records such as the HIPDB that are maintained by the names of individuals. It does allow disclosure for “routine use” such as credentialing and employment decisions, fraud and abuse investigations, and use as a part of a querying entity’s screening process, but disclosure to the

general public is not allowed.¹ Individual practitioners obtaining information about themselves from the HIPDB are permitted to share that information with whomever they choose. However, patient names are not to be submitted in HIPDB reports, including any rebuttal documents submitted as part of a dispute process. The statute does not specify a penalty for violating the confidentiality provisions of the HIPDB; however, other Federal statutes subject individuals and entities to criminal penalties, including fines and imprisonment, for the inappropriate use or disclosure of HIPDB information.²

Accuracy of HIPDB Information

Reports to the HIPDB are entered exactly as received from reporters. To ensure the accuracy of reports, each practitioner, provider and supplier reported to the HIPDB is notified that a report has been made and is sent a copy of the report. Subjects of reports are allowed to submit a statement expressing their views of the circumstances surrounding any judgment or adverse action report concerning them. The statement is disclosed whenever the report is disclosed. If subjects decide to dispute the accuracy of information in the report in addition to or instead of filing a statement, they are requested to notify the HIPDB that the report is being disputed. The report in question is then noted as under dispute when it is released in response to queries. Subjects also must attempt to work with the reporting entity to reach agreement or revision or voidance of a disputed report. If their concerns are not resolved by the reporting entity, the subject may request that the Secretary of Health and Human Services review the disputed information. The Secretary then makes the final determination concerning whether a report should remain unchanged, be modified, or be voided and removed from the HIPDB.

2001 HIPDB Goals, Improvements, and Outcomes

Several goals for the second year of operation of the HIPDB were met and their outcomes accomplished by activities conducted by the DHHS.

The first goal was to improve the IQRS to make HIPDB querying and reporting easier and to make information on the NPDB-HIPDB website easier to find and use. Release of an interactive education and training program, improvements in the IQRS, and enhancements of the HIPDB Information Web Site helped achieve this objective. IQRS users can accomplish more registration activities online, have more secure transactions, and are able to better reconcile query charges as they appear on Electronic Funds Transfer and credit card statements.

Staff held workshops and developed presentations for local and national law enforcement groups to increase awareness of HIPDB reporting requirements and procedures including the Investigative Search Capability of the IQRS. State licensing and professional boards were informed about HIPDB reporting requirements and encouraged to query the HIPDB. Articles and informational materials were distributed to these groups via publications, mailings, Internet, etc. Similarly, health plans, through outreach to the American Association of Health Plans and directly with the health plans, were informed about HIPDB reporting requirements and encouraged to query the HIPDB. Federal agencies such as the Drug Enforcement Administration (DEA), Centers for Medicare and Medicaid Services, and State Medicaid Fraud Control Units were contacted to encourage more HIPDB reporting and querying.

A major emphasis was on improving the quality and quantity of the data that is in the HIPDB through research and policy implementation. During 2001 DHHS and its contractor corrected reporting errors, made changes to reporting codes and operations, and compared HIPDB and NPDB data to other sources of information such as the Bureau of National Affairs (BNA) information and Public Citizen's 20,125 Questionable Doctors Disciplined by State and Federal Governments. In addition, DHHS is in the process of developing a satisfaction survey, improving fact sheets and subject notification documents, and updating the HIPDB Guidebook.

¹ Federal Register/ Vol. 64, No. 206/ Tuesday, October 26, 1999/ Rules and Regulations, Section 61.14 Confidentiality of the HIPDB Information p. 57751.

² HIPDB Guidebook, February 2000.

REPORTS

Tables A1 through A10 present data through December 31, 2001 on HIPDB reports, individual practitioner and organization types, and the years and States in which actions were taken for HIPDB reports. A variety of individual practitioner types have been reported to the HIPDB. Detailed tables for six practitioner categories are presented in Tables B1 through J7: Physicians, Dentists, Nurses (RNs and LPNs/Nurses Aides), Pharmacists and Pharmacy Assistants, Chiropractors, Non-Healthcare Practitioners, and "Other Professionals". Information in these tables includes the years actions were taken, the types of actions, reasons for actions, States with reports, report type by State, and the number of reports of each type practitioners have in the HIPDB.

Tables are presented for the active entities that have reported at least once to the HIPDB in Table K1 and the 2,629 entities which have queried the HIPDB in Table K2. Table K3 shows the number and percent of queries matched to the HIPDB through 2001. Table L1 shows the number and percent for Secretarial Review requests by report type and outcome.

A final set of tables, Tables M1 and M2, provide comparisons of the number of reports by practitioner type for each State and the number of reports for each 100,000 population by State.

Statistical Summary

By December 31, 2001, the end of its 28th month of receiving reports, the HIPDB contained reports on 106,355 health-care related civil judgments, criminal convictions, licensing and certification actions, exclusions from Federal and State health care programs, and other adjudicated actions involving 64,495 individual practitioners and 1,381 organizations. Detailed information concerning physicians, dentists, nurses and nursing-related practitioners, pharmacists and pharmacy assistants, chiropractors, non-healthcare practitioners (e.g., business owners and accountants), and other professionals (e.g., podiatrists, respiratory therapists, psychology-related practitioners) is available in the Statistical Appendix.

Of the individual practitioners reported to the HIPDB, the majority were nurses (50.1 percent) and physicians (19.9 percent). The remaining practitioner types represented only 29.9 percent of the practitioners with reports and 25.8 percent of HIPDB reports for individual practitioners. The 1,689 reports concerning 1,381 organizations make up only 1.6 percent of all HIPDB reports. Nursing and Skilled Nursing Facilities, 237 in all, make up about 30 percent of all reported organizations and account for around 20 percent of organization reports. A total of 6 States have no HIPDB reports for organizations, down from 18 states with no reports in 2000.

Approximately 72 percent of all reports concerned State Licensure Actions. Physicians were responsible for 22.7 percent of all State Licensure Action reports. Dentists were responsible for 5.6 percent, nurses were responsible for 56.1 percent, and other health care practitioners were responsible for 14.7 percent. Organizations were responsible for only 5.6 percent of State Licensure Action reports. Exclusion or Debarment reports represent about 21 percent of all reports received cumulatively. Physicians were responsible for 20.6 percent of Exclusion reports. Dentists were responsible for 6.3 percent, nurses were responsible for 40.5 percent, organizations were responsible for 1.5 percent, and other practitioners were responsible for 18.9 percent. The other report types make up less than 3 percent of all individual practitioner reports. Reports submitted on Health Plan Actions make up about 5.8 percent of all organization reports. There are no Federal Licensure and DEA reports for organizations in the HIPDB.

For individual practitioners, all States have submitted at least one State Licensure report, but only 26 States have a report in each report type category. For Government Administrative Actions, 21 States have no individual practitioner reports, and for Health Plan Actions, 9 States have no individual practitioner reports. Alabama, Delaware, Maine, Minnesota, North Dakota, South Dakota, Vermont, and Wyoming have no Federal Licensure and DEA reports for individual practitioners.

Queriers and Reporters

From November 22, 1999 (for self-queries) and from March 6, 2000 (for entity queries) through December 31, 2001, the HIPDB had responded to over 1.98 million inquiries (queries) from criminal justice authorities, government investigators, prosecutors, Federal and State government agencies and health plans. Health plans and insurance companies are the most active queriers having made 81.4 percent of all queries cumulatively.

Accounting for the 92,210 reports in the HIPDB, 4,322 entities, of which 4,270 are currently active, have reported at least once to the HIPDB. Many of these reporters have been State Licensing agencies. State licensing agencies are only 12 percent of all registered entities, but they have submitted 89.7 percent of reports.

Matches

When a query is submitted concerning a subject who has one or more reports in the HIPDB, a “match” is made, and the querier is sent copies of the reports. As reports naming additional subjects are submitted to the HIPDB and as more queries are made, both the number and rate of matches will increase. Cumulatively, a total of 247,511 matches were made for the 1,981,471 queries submitted by 181,471 entities; thus, 12.5 percent of all queries submitted by entities resulted in a match. Cumulatively, 6,318 of 72,536 self-queries have been matched for a 8.7 percent self-query match rate.

Disputes and Secretarial Reviews

A subject reported to the HIPDB may dispute the report. The subject may dispute either the contents of the report or the fact that a report was filed at all. If the disagreement is not resolved between the subject and the reporter, the subject may ultimately request a review of the report by the Secretary of the HHS. At the end of 2001, 59 requests out of 149 total requests for Secretarial Review (39.6 percent) were unresolved and 90 requests were resolved (60.4 percent). The majority of the resolved requests, 67 out of 90 (74.4 percent) were for State Licensure Action Reports. The largest number of requests that were resolved were determined to be “outside the scope of review” (38 requests or 42.2 percent of resolved requests).³

CONCLUSION

The HIPDB has received many reports in its first two years of operation, especially State Licensure and Exclusion or Debarment reports. Through education and outreach efforts, such as those targeted to law enforcement organizations and State Boards, the reports and the number of organizations reporting should increase, therefore improving the breadth and depth of the data.

As data continue to accumulate, the HIPDB will continue to benefit the public by serving as a health care data collection program which combats health care fraud and abuse, and thereby, improves the quality of health care in the United States. The HIPDB’s value also will increase as a source of aggregate information for research. Over time, the data generated will provide useful information on trends in health care disciplinary actions.

³ The issues raised in the cases determined to be “outside the scope of review” were not subject to resolution by the Secretary.

STATISTICAL APPENDIX

TABLE A1:	Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Practitioner Type
TABLE A2:	Number of Reports Concerning Organizations, Organizations with Reports, and Reports per Organization with Reports by Type of Organization
TABLE A3:	Reports Concerning Individual Practitioners and Organizations by Report Type
TABLE A4:	Type of Action Taken Concerning Individuals by Profession Type
TABLE A5:	Type of Action Taken Concerning Organizations
TABLE A6:	Reports Concerning Individuals and Organizations by Type of Action and Year Adverse Action Taken
TABLE A7:	Type of Action Taken Concerning Individuals by Reason for Action
TABLE A8:	Type of Action Taken Concerning Organizations by Reason for Action
TABLE A9:	Number of Reports Concerning Individuals and Organizations and Total Number of Reports by State
TABLE A10:	Number of Reports Concerning Individuals by Report Type and State
TABLE A11:	Number of Reports Concerning Organizations by Report Type and State
TABLE A12:	Number of Individuals with Reports and Reports for Each Individual by Report Type
TABLE A13:	Number of Organizations with Reports and Reports for Each Organization by Report Type
TABLE B1:	Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – Physicians
TABLE B2:	Reason for Action Taken Concerning Individuals by Report Type – Physicians
TABLE B3:	Type of Action Taken Concerning Individuals by Reason for Action – Physicians
TABLE B4:	Number of Reports Concerning Individuals, Individuals with Reports, and Reports Per Individual with Reports by State – Physicians
TABLE B5:	Number of Reports Concerning Individuals by Report Type and State – Physicians
TABLE B6:	Number of Individuals with Reports and Reports for Each Individual by Report Type – Physicians
TABLE C1:	Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – Dentists
TABLE C2:	Reason for Action Taken Concerning Individuals by Report Type – Dentists
TABLE C3:	Type of Action Taken Concerning Individuals by Report Type and Reason for Action – Dentists
TABLE C4:	Number of Reports Concerning Individuals, Individuals with Reports, and Reports Per Individual with Reports by State – Dentists

TABLE C5: Number of Reports Concerning Individuals by Report Type and State -- Dentists

TABLE C6: Number of Individuals with Reports and Reports for Each Individual by Report Type – Dentists

TABLE D1: Number of Reports Concerning Individuals by Report Type – Nurses and Related Personnel

TABLE D2: Number of Reports Concerning Individuals by Profession Type and State –Nurses and Related Personnel

TABLE E1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – RNs

TABLE E2: Reason for Action Taken Concerning Individuals by Report Type – RNs

TABLE E3: Type of Action Taken Concerning Individuals by Reason for Action – RNs

TABLE E4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State – RNs

TABLE E5: Type of Reports Concerning Individuals by Report Type and State – RNs

TABLE E6: Number of Individuals with Reports and Reports for Each Individual by Report Type – RNs

TABLE F1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – LPNs and Nurses Aides

TABLE F2: Reason for Action Taken Concerning Individuals by Report Type – LPNs and Nurses Aides

TABLE F3: Type of Action Taken Concerning Individuals by Reason for Action – LPNs and Nurses Aides

TABLE F4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports Per Individuals with Reports by State -- LPNs and Nurses Aides

TABLE F5: Number of Reports Concerning Individuals by Report Type and State – LPNs and Nurses Aides

TABLE F6: Number of Individuals with Reports and Reports for Each Individual by Report Type – LPNs and Nurses Aides

TABLE G1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – Pharmacists and Pharmacy Assistants

TABLE G2: Reason for Action Taken Concerning Individuals by Report Type -- Pharmacists and Pharmacy Assistants

TABLE G3: Type of Action Taken Concerning Individuals by Reason for Action – Pharmacists and Pharmacy Assistants

TABLE G4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State – Pharmacists and Pharmacy Assistants

TABLE G5: Number of Reports Concerning Individuals with Reports by Report Type and State – Pharmacists and Pharmacy Assistants

TABLE G5: Number of Individuals with Reports and Reports for Each Individual by Report Type – Pharmacists and Pharmacy Assistants

TABLE H1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – Chiropractors

TABLE H2: Reason for Action Taken Concerning Individuals by Report Type -- Chiropractors

TABLE H3: Type of Action Taken Concerning Individuals by Reason for Action -- Chiropractors

TABLE H4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State – Chiropractors

TABLE H5: Number of Reports Concerning Individuals by Report Type and State – Chiropractors

TABLE H6: Number of Individuals with Reports and Reports for Each Individual by Report Type – Chiropractors

TABLE I1: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Career Field – Non-Healthcare Practitioners

TABLE I2: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – Non-Healthcare Practitioners

TABLE I3: Reason for Action Taken Concerning Individuals by Report Type – Non-Healthcare Practitioners

TABLE I4: Type of Action Taken Concerning Individuals by Reason for Action – Non-Healthcare Practitioners

TABLE I5: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State – Non-Healthcare Practitioners

TABLE I6: Number of Reports Concerning Individuals by Report Type and State – Non-Healthcare Practitioners

TABLE I7: Number of Individuals with Reports and Reports for Each Individual by Report Type – Non-Healthcare Practitioners

TABLE J1: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Career Field – “Other Professionals”

TABLE J2: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken – “Other Professionals”

TABLE J3: Reason for Action Taken Concerning Individuals by Report Type – “Other Professionals”

TABLE J4: Type of Action Taken Concerning Individuals by Reason for Action -- “Other Professionals”

TABLE J5: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State – “Other Professionals”

TABLE J6: Number of Reports Concerning Individuals by Report Type and State – “Other Professionals”

TABLE J7: Number of Individuals with Reports and Reports for Each Individual by Report Type – “Other Professionals”

TABLE K1: Active Entities Which have Reported at Least Once to the HIPDB or the NPDB

TABLE K2: Number of Querying Entities, Queries, and Percent of Queries by Type of Querying Entity

TABLE K3: Number and Percent of HIPDB Entity and Self-Queries Matched with Reports in the HIPDB

TABLE L1: Number of Requests for Secretarial Review and Percent of Resolved Requests by Report and Outcome Type

TABLE M1: Comparison of the Number and Percent of Reports by Practitioner Type and State

TABLE M2: Number of Reports Concerning Individuals by Practitioner Type per 100,000 People and State

Table A1: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Practitioner Type* (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Practitioner Type	Number of Reports for Individuals	Number of Individuals with Reports**	Number of Reports per Individual with Reports
Nurses and Nursing-related Practitioners	54,631	32,337	1.69
Physicians	23,007	12,848	1.79
Dentists	6,110	4,087	1.49
Non-Healthcare Practitioners	4,984	3,157	1.58
Pharmacists and Pharmacy Assistants	4,391	3,234	1.36
Chiropractors	3,367	2,495	1.35
Psychology-related Practitioners	1,120	811	1.38
Podiatrists and Podiatric-related Practitioners	952	628	1.52
Respiratory Therapists and Related Practitioners	757	676	1.12
Optical-related Practitioners	666	480	1.39
Counselors	659	506	1.30
Physical Therapists and Related Practitioners	645	526	1.23
Facility Administrators	633	552	1.15
Social Workers	549	478	1.15
Physician Assistants and Medical Assistants	542	356	1.52
Occupational Therapists and Related Practitioners	353	312	1.13
Dental Assistants, Technicians, Hygienists	318	273	1.16
Emergency Medical Practitioners	238	222	1.07
Technologists	173	154	1.12
Speech and Language-Related Practitioners	55	44	1.25
Acupuncturists	50	45	1.11
Audiologists	49	44	1.11
Dieticians	18	18	1.00
Homeopaths and Naturopaths	14	8	1.75
Prosthetists	6	6	1.00
Psychiatric Technicians and Aides	4	4	1.00
Nutritionists	4	3	1.33
Denturists	4	3	1.33
Unspecified or Unknown	367	188	1.95
Total	104,666	64,495	1.62

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** To avoid double-counting of practitioners who change their career field, only one license field was selected for each practitioner.

Table A2: Number of Reports Concerning Organizations, Organizations with Reports, and Reports per Organization with Reports by Type of Organization*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Organization	Number of Reports	Number of Organizations with Reports	Number of Reports per Organization with Reports
Durable Medical Equipment Supplier	487	416	1.17
Nursing Facility/Skilled Nursing Facility	335	237	1.41
Pharmacy	306	287	1.07
Ambulance Service/Transportation Company	85	51	1.67
Home Health Agency/Organization	81	78	1.04
Laboratory/CLIA Laboratory	46	45	1.02
Medical Group/Practice	35	34	1.03
Dental Group/Practice	25	24	1.04
Mental Health/Substance Abuse Group/Facility	18	15	1.20
Health Insurance Company/Provider	16	14	1.14
General/Acute Care Hospital	12	11	1.09
Chiropractic Group/Practice	11	9	1.22
End Stage Renal Disease Facility	10	10	1.00
Pharmaceutical Manufacturer	7	7	1.00
Mental Health Center/Community Mental Health Center	7	5	1.40
Psychiatric Hospital	6	6	1.00
Optician/Optometric Group/Practice	6	6	1.00
Health Maintenance Organization	5	4	1.25
Outpatient/Comprehensive Outpatient Rehabilitation Facility	5	5	1.00
Ambulatory Clinic/Center	5	5	1.00
Podiatric Group/Practice	4	2	2.00
Eyewear Equipment Supplier	3	3	1.00
Radiology/Imaging Center	3	3	1.00
Rehabilitation Hospital	2	2	1.00
Fiscal/Billing/Management Agent	2	2	1.00
Mammography Service Provider	2	2	1.00
Hospice/Hospice Care Provider	1	1	1.00
Intermediate Care Facility for Mentally Retarded/Substance Abuse	1	1	1.00
Ambulatory Surgical Center	1	1	1.00
Health Center/Federally Qualified Health Center/Community Health Center	1	1	1.00
Other Type Not Classified	161	94	1.71
Total	1,689	1,381	1.22

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table A3: Reports Concerning Individuals and Organizations by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Individuals		Organizations		Total	
	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	76,302	72.9%	616	36.5%	76,918	72.3%
Federal Licensure & DEA Action	304	0.3%	0	0.0%	304	0.3%
Government Administrative Action	1,509	1.4%	642	38.0%	2,151	2.0%
Exclusion or Debarment Action	22,379	21.4%	284	16.8%	22,663	21.3%
Health Plan Action	849	0.8%	98	5.8%	947	0.9%
Judgment or Conviction	3,323	3.2%	49	2.9%	3,372	3.2%
Total	104,666	100.0%	1,689	100.0%	106,355	100.0%
Percentage of Total Reports	98.4%		1.6%		100.0%	

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table A4: Type of Action Taken Concerning Individuals by ProfessionType*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Physicians		Dentists		Nurses and Nursing Related Practitioners		Pharmacists and Pharmacy Assistants		Chiropractors		Non-Healthcare Practitioners		"Other Professionals"		Total Individuals	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Adverse Actions																
State Licensure Action																
Revocation of License	1,926	8.4	219	3.6	4,635	8.6	199	4.6	145	4.3	90	3.0	894	11.3	8,108	8.0
Probation of License or Certificate	3,292	14.4	1477	24.4	10,195	18.9	777	18.1	296	8.8	38	1.3	1325	16.7	17,400	17.2
Suspension of License	3,047	13.3	660	10.9	5,748	10.7	524	12.2	193	5.7	23	0.8	893	11.3	11,088	10.9
Reprimand or Censure	1,930	8.4	785	13.0	4,936	9.2	803	18.7	110	3.3	14	0.5	864	10.9	9,442	9.3
Voluntary Surrender of License or Certificate	707	3.1	100	1.7	1,474	2.7	139	3.2	34	1.0	19	0.6	485	6.1	2,958	2.9
Reprimand, Censure, Voluntary Surrender of License or Certificate	2,982	13.0	381	6.3	8,540	15.9	133	3.1	25	0.7	0	0.0	271	3.4	12,332	12.2
Limitation or Restriction on License, Practice, Admissions, or Services	375	1.6	19	0.3	1,016	1.9	48	1.1	7	0.2	4	0.1	103	1.3	1,572	1.6
Denial of License or Certificate (Renewal Only)	51	0.2	15	0.2	400	0.7	253	5.9	2	0.1	4	0.1	84	1.1	809	0.8
Administrative Fine/Monetary Penalty	128	0.6	137	2.3	510	0.9	272	6.3	36	1.1	3	0.1	489	6.2	1,575	1.6
License Reinstatement Denied	113	0.5	5	0.1	399	0.7	8	0.2	6	0.2	0	0.0	20	0.3	551	0.5
Extension of Previous Licensure Action	268	1.2	18	0.3	322	0.6	44	1.0	16	0.5	0	0.0	53	0.7	721	0.7
Other Licensure Action	752	3.3	183	3.0	728	1.4	353	8.2	84	2.5	93	3.1	494	6.2	2,687	2.7
Exclusions or Debarment Action																
Exclusion or Debarment from Federal Health Care Program	1,148	5.0	260	4.3	1,752	3.3	1	0.02	382	11.4	14	0.5	276	3.5	3,833	3.8
Exclusion from a State Health Care Program	425	1.9	98	1.6	1,056	2.0	108	2.51	115	3.4	183	6.1	166	2.1	2,151	2.1
Exclusion from Medicare, Medicaid & Other Federal Programs	2,035	8.9	608	10.1	5,709	10.6	279	6.50	965	28.7	2,082	69.0	749	9.5	12,427	12.3
Exclusion from Medicare & State Health Care Programs	413	1.8	185	3.1	503	0.9	52	1.21	350	10.4	380	12.6	186	2.3	2,069	2.0
Reinstatement Denied	1	0.0	0	0.0	1	0.0	0	0.0		0.0	0	0.0	0	0.0	2	0.0
Government Administrative Action																
Voluntary Surrender of License/Under Investigation	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	2	0.0
Administrative Fine/Monetary Penalty	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	1	0.0	3	0.0
Termination from Medicare/Other Federal Program Participation	10	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	10	0.0
Voluntary Termination from Medicare Participation/Under Investigation	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Termination from Medicaid/Other State Program Participation	0	0.0	3	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	4	0.0
Termination from Medicaid/State Program Participation for Cause	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Disqualification Based on State Nurses Aide Registry	0	0.0	0	0.0	919	1.7	0	0.0	0	0.0	0	0.0	0	0.0	919	0.9
Disqualification of Clinical Investigator	0	0.0	0	0.0	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	2	0.0
Personnel Action--Employee Termination	3	0.0	0	0.0	443	0.8	0	0.0	0	0.0	0	0.0	0	0.0	446	0.4
Other Personnel Action (Not Classified)	1	0.0	0	0.0	1	0.0	0	0.0	0	0.0	0	0.0	1	0.0	3	0.0
Other Restriction of Clinical Privileges	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	1	0.0
Other Action (Not Classified)	36	0.2	6	0.1	60	0.1	0	0.0	3	0.1	0	0.0	15	0.2	120	0.1
Health Plan Action																
Contract Termination	383	1.7	261	4.3	2	0.0	0	0.0	97	2.9	0	0.0	52	0.7	795	0.8
Suspension of Contract	9	0.0	2	0.0	0	0.0	0	0.0	1	0.0	0	0.0	1	0.0	13	0.0
Denial of Contract Application or Renewal	16	0.1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	2	0.0	18	0.0
Extension of Previous Action	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	2	0.0
Other Health Plan Action	11	0.0	5	0.1	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	17	0.0
Total Adverse Actions	20,065	87.6	5,427	89.8	49,351	91.7	3,993	93.0	2,867	85.4	2,948	97.7	7,429	93.8	92,080	90.9
Non-Adverse Actions																
State Licensure Action																
Licensure or Certification Completely or Partially Restored or Reinstated	1,976	8.6	291	4.8	4,254	7.9	191	4.4	63	1.5	4	0.1	229	2.9	7,008	6.9
Reduction of Previous Licensure Action	210	0.9	41	0.7	41	0.1	12	0.3	9	0.2	0	0.0	42	0.5	355	0.4
Exclusions or Debarment Action																
Reinstatement	650	2.8	285	4.7	156	0.3	99	2.3	418	9.7	65	2.2	224	2.8	1,897	1.9
Health Plan Action																
Reinstatement	1	0.0	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	2	0.0
Reduction of Previous Action	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0
Total Non-Adverse Actions	2,838	12.4	618	10.2	4,451	8.3	302	7.0	490	14.6	69	2.3	495	6.2	9,263	9.1
Total Actions Taken**	22,903	100.0	6,045	100.0	53,802	100.0	4,295	100.0	3,357	100.0	3,017	100.0	7,924	100.0	101,343	100.0
Percentage of Cumulative Actions Taken		22.6		6.0		53.1		4.2		3.3		3.0		7.8		100.0

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total actions taken excludes 3,323 reports (104 for physicians, 65 for dentists, 829 for nurses, 96 for pharmacists and pharmacy assistants, 10 for chiropractors, 1,967 for non-healthcare practitioners, and 252 for other professionals) that lack information on the classification of the initial action and/or the reason for action.

Table A5: Type of Action Taken Concerning Organizations*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Organizations	
	Number	Percent
Adverse Actions		
State Licensure Action		
Revocation of License or Certificate	47	2.9
Suspension of License or Certificate	50	3.0
Voluntary Surrender of License or Certificate	41	2.5
Conditional or Probationary License or Certificate	96	5.8
Denial of License or Certificate (Renewal Only)	1	0.1
Directed Plan or Correction	1	0.1
On-Site Monitoring	1	0.1
Directed In-Service Training	15	0.9
Restrictions on Admissions or Services	21	1.3
Closure of Facility	1	0.1
Civil Money Penalty	47	2.9
Administrative Fine/Money Penalty	186	11.3
Extension of Previous Action	9	0.5
Other Licensure Action	161	9.8
Exclusion or Debarment Action		0.0
Exclusion from a Federal Health Care Program	2	0.1
Exclusion from a State Health Care Program	219	13.3
Exclusion from Medicare, Medicaid & Other Federal Programs	63	3.8
Government Administrative Action		0.0
Termination of Medicare/Other Federal Program Participation	344	20.9
Voluntary Termination of Medicare/Program Participation while Under Investigation	8	0.5
Voluntary Termination of Medicaid/State Program Participation while Under Investigation	4	0.2
Termination Medicaid/State Program Participation for Cause	48	2.9
Reinstatement Denied	41	2.5
Other Action (Not Classified)	48	2.9
Health Plan Action		0.0
Contract Termination	98	5.9
Total Adverse Actions	1,552	94.2
Non-Adverse Action		
State Licensure Action		
License or Certification Completely or Partially Restored or Reinstated	68	4.1
Reduction of Previous Licensure Action	9	0.5
Government Administrative Action		
Reinstatement	10	0.6
Reduction of Previous Government Administrative Action	1	0.1
Total Non-Adverse Actions	88	5.4
Total Adverse and Non-Adverse Actions**	1,640	100.0

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** An additional 49 reports lack information on the initial action's classification.

Table A6: Number of Reports Concerning Individuals and Organizations by Type of Action and Year Adverse Action Taken*
(Healthcare Integrity Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996***		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Individuals																
State Licensure Action	1,443	28.0%	5,350	83.6%	15,588	78.0%	17,199	79.8%	15,933	78.6%	11,640	66.8%	9,149	65.7%	76,302	72.9%
Federal Licensure & DEA Action	1	0.0%	16	0.3%	51	0.3%	84	0.4%	130	0.6%	224	1.3%	343	2.5%	849	0.8%
Government Administrative Action	232	4.5%	9	0.1%	28	0.1%	4	0.0%	22	0.1%	8	0.0%	1	0.0%	304	0.3%
Exclusion or Debarment Action	3	0.1%	68	1.1%	229	1.1%	272	1.3%	260	1.3%	527	3.0%	150	1.1%	1,509	1.4%
Health Plan Action	3,467	67.4%	759	11.9%	3,323	16.6%	3,228	15.0%	3,068	15.1%	4,353	25.0%	4,181	30.0%	22,379	21.4%
Judgment or Conviction	0	0.0%	194	3.0%	753	3.8%	757	3.5%	850	4.2%	664	3.8%	104	0.7%	3,322	3.2%
Total Reports for Individuals	5,146	98.4%	6,396	99.3%	19,972	99.4%	21,544	98.9%	20,263	98.5%	17,416	97.0%	13,928	97.5%	104,665	98.4%
Organizations																
State Licensure Action*	8	9.8%	20	42.6%	30	24.0%	46	19.3%	85	27.4%	249	46.8%	178	50.1%	616	36.5%
Government Administrative Action	0	0.0%	6	12.8%	23	18.4%	133	55.9%	167	53.9%	215	40.4%	98	27.6%	642	38.0%
Exclusion or Debarment Action	73	89.0%	14	29.8%	15	12.0%	25	10.5%	37	11.9%	49	9.2%	71	20.0%	284	16.8%
Health Plan Action	1	1.2%	6	12.8%	42	33.6%	22	9.2%	11	3.5%	9	1.7%	7	2.0%	98	5.8%
Judgment or Conviction	0	0.0%	1	2.1%	15	12.0%	12	5.0%	10	3.2%	10	1.9%	1	0.3%	49	2.9%
Total Reports for Organizations	82	1.6%	47	0.7%	125	0.6%	238	1.1%	310	1.5%	532	3.0%	355	2.5%	1,689	1.6%
Total Reports**	5,228	100.0%	6,443	100.0%	20,097	100.0%	21,782	100.0%	20,573	100.0%	17,948	100.0%	14,283	100.0%	106,354	100.0%
Percentage of Cumulative Reports		4.9%		6.1%		18.9%		20.5%		19.3%		16.9%		13.4%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion Action reports.

** The total excludes one report that lacks valid information about the date the action was taken.

*** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date the HIPDB was established by legislation. All reportable actions taken after this date must be reported to the HIPDB.

Table A7: Type of Action Taken Concerning Individuals by Reason for Action*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care	Patient Abuse	Misappropriation of Property	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/ Local Authority	Other (Not Classified)	Total
State Licensure Action													
Revocation of License	568	270	1,138	415	656	91	650	32	24	532	698	3,012	8,088
Probation of License	2,286	279	2,137	1,508	68	4	808	88	268	567	650	8,704	17,367
Suspension of License	2,064	304	1,278	631	37	9	821	131	110	509	821	4,240	10,955
Reprimand or Censure	79	166	1,408	637	108	12	139	0	408	31	561	5,891	9,440
Voluntary Surrender of License	632	84	593	153	48	7	210	53	27	211	302	631	2,951
Reprimand, Censure, Voluntary Surrender	0	0	0	0	0	0	0	0	0	0	0	12,332	12,332
Limitation or Restriction on License/Practice	620	13	277	153	9	0	53	19	21	35	87	270	1,557
Denial of License (Renewal Only)	7	9	11	4	1	1	20	2	1	633	13	107	809
Administrative Fine/Monetary Penalty	90	201	334	78	2	0	59	0	94	10	64	643	1,575
License Reinstatement Denied	5	4	22	0	1	2	27	0	0	0	6	389	456
Extension of Previous Licensure Action	2	0	0	0	0	0	1	0	0	0	0	9	12
Other Licensure Action	198	122	398	120	95	12	151	19	32	57	118	1,342	2,664
Exclusion or Debarment Action													
Exclusion from Federal Health Care Program	0	27	0	44	0	0	1,449	0	0	565	1,747	1	3,832
Exclusion from a State Health Care Program	0	89	0	21	0	0	184	0	0	27	1,651	179	2,151
Exclusion from Medicare, Medicaid, Other Federal Programs	0	2,647	0	1	0	0	1,611	0	0	1,871	6,284	13	12,427
Exclusion from Medicare & State Health Care Programs	0	585	0	0	0	0	289	0	0	753	441	1	2,069
Government Administrative Action													
Voluntary Surrender of Medicaid/State Program Participation	0	2	0	0	0	0	0	0	0	0	0	0	2
Administrative Fine/Monetary Penalty	0	2	0	0	0	0	0	0	0	1	0	0	3
Disqualification of Clinical Investigator	0	0	0	0	2	0	0	0	0	0	0	0	2
Termination from Medicaid/Other State Program Participation	0	11	0	0	0	0	0	0	0	0	2	1	14
Disqualification Based on State Nurses Aide Registry	1	0	14	64	668	114	8	0	0	1	0	49	919
Personnel Action--Employee Termination	5	0	57	1	4	5	368	0	0	3	1	2	446
Other Personnel Action (Not Classified)	2	0	0	0	1	0	0	0	0	0	0	0	3
Other Action (Not Classified)	10	1	28	9	13	8	10	0	0	3	15	23	120
Health Plan Action													
Contract Termination	10	58	31	65	2	0	83	0	8	185	254	87	780
Suspension of Contract	1	0	2	1	0	0	0	0	0	0	8	1	13
Employment Termination	0	1	0	0	0	0	0	0	0	0	0	0	1
Denial of Contract Application or Renewal	1	1	2	4	0	0	0	0	0	1	5	4	18
Other Health Plan Action	0	3	0	1	0	0	0	0	0	0	5	8	17
Total Adverse Actions	6,619	5,020	7,791	3,914	1,718	265	7,001	344	1,018	6,692	13,841	37,939	91,021
Non-Adverse Actions													
State Licensure Action*													
License Restored or Reinstated Completely or Partially	38	10	60	2	2	0	25	0	25	1	3	4,926	5,092
Reduction of Previous Licensure Action	0	0	1	0	1	0	0	0	0	0	0	10	12
Exclusion or Debarment Action													
Reinstatement	0	131	0	2	0	0	35	0	0	699	104	5	976
Total Non-Adverse Actions	38	141	61	4	3	0	60	0	25	700	107	4,941	6,080
Total Actions Taken**	6,657	5,161	7,852	3,918	1,721	265	7,061	344	1,043	7,392	13,948	42,880	97,101

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes an additional 22,069 reports that were not required to include the reason for action in the submitted report; these reports include 7,565 reports that lack information about the detailed type of action and/or the 3,323 reports that lack information about the reason for action.

Table A8: Type of Action Taken Concerning Organizations by Reason for Action*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Fraud	Substandard Care /Services	Patient Abuse	Criminal Convictions	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/Local Authority	Other (Not Classified)	Total
Adverse Actions									
State Licensure Action									
Revocation of License or Certificate	8	2	0	4	13	5	7	8	47
Suspension of License or Certificate	2	4	0	0	33	1	1	9	50
Voluntary Surrender of License or Certificate	3	7	0	1	2	6	4	18	41
Conditional or Probationary License or Certificate	4	16	2	0	50	6	1	17	96
Denial of License (Renewal Only)	0	0	0	1	0	0	0	0	1
Directed Plan or Correction (License/Government Administration)	1	0	0	0	0	0	0	0	1
On-Site Monitoring (License/Government Administration)	0	0	0	0	1	0	0	0	1
Directed In-Service Training (License/Government Administration)	0	0	0	0	15	0	0	0	15
Restrictions on Admissions or Services (License/Government Administration)	0	3	0	0	16	1	0	1	21
Closure of Facility	0	0	0	0	0	1	0	0	1
Civil Money Penalty (Licensure/Government Administration)	0	0	0	0	33	2	6	6	47
Administrative Fine/Monetary Penalty	1	1	5	0	56	1	6	116	186
Other Licensure Action	5	2	4	1	95	9	2	43	161
Exclusion or Debarment Action									
Exclusion from a State Health Care Program	0	0	0	1	0	0	1	0	2
Exclusion from Medicare, Medicaid & Other Federal Programs	83	0	0	19	0	20	82	15	219
Exclusion from Medicare & State Health Care Programs	31	0	0	0	0	0	0	32	63
Government Administrative Action									
Termination of Medicare/Other Federal Program Participation	0	0	0	0	14	328	1	1	344
Voluntary Termination of Medicare/Program Participation Under Investigation	0	0	0	0	1	0	0	7	8
Voluntary Termination of Medicaid/State Program Participation	1	0	1	0	2	0	0	0	4
Termination Medicaid/State Program Participation for Cause	5	0	1	0	41	0	0	1	48
Other Action (Not Classified)	0	0	0	0	38	0	1	9	48
Health Plan Action									
Contract Termination	15	1	0	64	0	6	3	7	96
Total Adverse Actions	159	36	13	91	411	385	115	290	1,500

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table A9: Number of Reports Concerning Individuals and Organizations and Total Number of Reports by State*
(Healthcare Integrity Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Individuals	Number of Reports for Organizations	Total Number of Reports
Alabama	2,914	9	2,923
Alaska	356	0	356
Arizona	3,529	3	3,532
Arkansas	2,419	82	2,501
California	8,876	75	8,951
Colorado	2,327	12	2,339
Connecticut	1,719	44	1,763
Delaware	231	22	253
Florida	3,682	145	3,827
Georgia	2,554	23	2,577
Hawaii	160	10	170
Idaho	241	0	241
Illinois	3,795	13	3,808
Indiana	797	46	843
Iowa	1,196	3	1,199
Kansas	693	4	697
Kentucky	2,782	4	2,786
Louisiana	3,041	33	3,074
Maine	474	2	476
Maryland	1,446	53	1,499
Massachusetts	1,716	9	1,725
Michigan	5,096	159	5,255
Minnesota	1,954	10	1,964
Mississippi	3,436	23	3,459
Missouri	1,932	12	1,944
Montana	243	1	244
Nebraska	411	211	622
Nevada	907	3	910
New Hampshire	677	0	677
New Jersey	2,282	70	2,352
New Mexico	450	5	455
New York	6,065	192	6,257
North Carolina	2,630	10	2,640
North Dakota	378	2	380
Ohio	2,722	20	2,742
Oklahoma	2,240	8	2,248
Oregon	1,279	0	1,279
Pennsylvania	4,113	129	4,242
Rhode Island	418	5	423
South Carolina	1,980	7	1,987
South Dakota	280	2	282
Tennessee	2,166	8	2,174
Texas	8,815	179	8,994
Utah	1,042	5	1,047
Vermont	331	0	331
Virginia	3,631	5	3,636
Washington	2,237	6	2,243
West Virginia	846	2	848
Wisconsin	717	7	724
Wyoming	200	0	200
Washington, DC	106	0	106
Total**	104,666	1,689	106,355

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table A10: Number of Reports Concerning Individuals by Report Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	2,306	0	0	596	0	12	2,914
Alaska	317	1	0	37	0	1	356
Arizona	3,144	6	10	331	31	7	3,529
Arkansas	1,541	3	376	345	1	153	2,419
California	5,112	59	792	2,600	177	136	8,876
Colorado	1,834	9	6	399	7	72	2,327
Connecticut	1,354	3	2	329	7	24	1,720
Delaware	122	0	27	73	2	7	231
Florida	1,808	10		1,259	12	593	3,682
Georgia	2,021	9	2	491	2	29	2,554
Hawaii	105	2	2	41	0	10	160
Idaho	142	1	1	86	6	5	241
Illinois	2,835	9	0	882	11	58	3,795
Indiana	416	4	173	188	9	7	797
Iowa	880	3	1	288	16	8	1,196
Kansas	508	1	0	131	12	41	693
Kentucky	2,487	11	0	254	6	24	2,782
Louisiana	2,412	7	3	446	4	169	3,041
Maine	320	0	0	144	2	8	474
Maryland	988	7	3	336	33	79	1,446
Massachusetts	1,165	4	1	506	23	17	1,716
Michigan	4,321	11	13	611	96	44	5,096
Minnesota	1,438	0	0	410	66	40	1,954
Mississippi	2,709	2	2	536	0	187	3,436
Missouri	1,615	5	4	210	18	80	1,932
Montana	198	1	0	30	0	14	243
Nebraska	349	3	0	53	3	3	411
Nevada	720	7	1	145	8	26	907
New Hampshire	485	1	0	179	2	10	677
New Jersey	1,258	5	1	935	14	69	2,282
New Mexico	332	3	0	112	0	3	450
New York	3,752	16	0	1,753	32	512	6,065
North Carolina	2,342	5	1	258	19	5	2,630
North Dakota	329	0	0	43	4	2	378
Ohio	2,076	8	2	569	23	44	2,722
Oklahoma	1,798	10	7	410	3	12	2,240
Oregon	1,108	4	0	162	3	2	1,279
Pennsylvania	2,511	19	1	1,429	34	119	4,113
Rhode Island	182	1	0	212	6	17	418
South Carolina	1,603	2	0	233	0	142	1,980
South Dakota	235	0	0	40	2	3	280
Tennessee	1,637	5	1	382	57	84	2,166
Texas	6,239	20	27	2,271	23	235	8,815
Utah	893	1	4	138	2	4	1,042
Vermont	182	0	1	142	0	6	331
Virginia	2,899	10	0	670	19	33	3,631
Washington	1,877	2	16	270	24	48	2,237
West Virginia	701	3	29	89	10	14	846
Wisconsin	457	3	0	186	4	67	717
Wyoming	187	0	0	13	0	0	200
Washington, DC	41	7	0	47	3	8	106
Total**	76,302	304	1,509	22,379	849	3,323	104,666
Percentage of Total	72.9%	0.3%	1.4%	21.4%	0.8%	3.2%	100.0%

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table A11: Number of Reports Concerning Organizations by Report Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	0	4	5	0	0	9
Alaska	0	0	0	0	0	0
Arizona	0	3	0	0	0	3
Arkansas	0	81	0	0	1	82
California	50	20	5	0	0	75
Colorado	0	2	1	9	0	12
Connecticut	38	6	0	0	0	44
Delaware	12	6	0	0	4	22
Florida	5	118	19	0	3	145
Georgia	0	21	1	0	1	23
Hawaii	0	9	1	0	0	10
Idaho	0	0	0	0	0	0
Illinois	1	7	4	0	1	13
Indiana	1	45	0	0	0	46
Iowa	0	3	0	0	0	3
Kansas	0	3	0	0	1	4
Kentucky	0	3	0	0	1	4
Louisiana	0	11	22	0	0	33
Maine	0	2	0	0	0	2
Maryland	40	7	2	0	4	53
Massachusetts	0	8	1	0	0	9
Michigan	60	13	3	82	1	159
Minnesota	1	6	0	2	1	10
Mississippi	0	19	4	0	0	23
Missouri	0	8	2	0	2	12
Montana	0	1	0	0	0	1
Nebraska	211	0	0	0	0	211
Nevada	0	3	0	0	0	3
New Hampshire	0	0	0	0	0	0
New Jersey	0	6	63	0	1	70
New Mexico	2	3	0	0	0	5
New York	29	54	88	0	21	192
North Carolina	0	10	0	0	0	10
North Dakota	2	0	0	0	0	2
Ohio	2	16	1	1	0	20
Oklahoma	0	8	0	0	0	8
Oregon	0	0	0	0	0	0
Pennsylvania	60	25	43	0	1	129
Rhode Island	0	0	3	0	2	5
South Carolina	0	6	1	0	0	7
South Dakota	0	0	2	0	0	2
Tennessee	1	4	2	1	0	8
Texas	100	69	9	0	1	179
Utah	1	4	0	0	0	5
Vermont	0	0	0	0	0	0
Virginia	0	1	1	0	3	5
Washington	0	5	1	0	0	6
West Virginia	0	2	0	0	0	2
Wisconsin	0	7	0	0	0	7
Wyoming	0	0	0	0	0	0
Washington, DC	0	0	0	0	0	0
Total**	616	642	284	98	49	1,689
Percentage of Total	36.5%	38.0%	16.8%	5.8%	2.9%	100.0%

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table A12: Number of Individuals with Reports and Reports for Each Individual by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Number of Reports	Individuals with One or More HIPDB Reports	Individuals with One or More State Licensure Action Reports	Individuals with One or More Federal Licensure & DEA Action Reports	Individuals with One or More Government Administrative Action Reports	Individuals with One or More Exclusion or Debarment Action Reports	Individuals with One or More Health Plan Action Reports	Individuals with One or More Judgment or Conviction Reports
1	40,216	32,166	284	1,487	16,417	731	2,755
2	15,540	10,732	8	11	2,543	48	255
3	5,278	3,464	0	0	224	7	13
4	1,842	1,201	1	0	39	1	2
5	758	516	0	0	6	0	1
6	381	280	0	0	3	0	1
7	218	154	0	0	0	0	0
8	100	88	0	0	0	0	0
9	71	49	0	0	0	0	0
10	28	27	0	0	0	0	0
11	17	14	0	0	0	0	0
12	15	13	0	0	0	0	0
13	10	6	0	0	0	0	0
14	5	4	0	0	0	0	0
15	2	2	0	0	0	0	0
16	3	4	0	0	0	0	0
17	2	2	0	0	0	0	0
18	5	4	0	0	0	0	0
19	1	2	0	0	0	0	0
20	1	1	0	0	0	0	0
21	2	1	0	0	0	0	0
Number of Individuals with Reports	64,495	48,730	293	1,498	19,232	787	3,027
Number of Reports	104,666	76,302	304	1,509	22,379	849	3,323
Reports Per Individual with Reports	1.62	1.57	1.04	1.01	1.16	1.08	1.10

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

**Table A13: Number of Organizations with Reports and Reports for Each Organization by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Number of Reports	Organizations with One or More HIPDB Reports	Organizations with One or More State Licensure Reports	Organizations with One or More Government Administrative Reports	Organizations with One or More Exclusion or Debarment Reports	Organizations with One or More Health Plan Reports	Organizations with One or More Judgment or Conviction Reports
1	1,175	359	468	237	73	45
2	148	60	65	16	6	2
3	30	17	6	1	3	0
4	10	7	2	0	1	0
5	7	4	2	1	0	0
6	1	1	0	0	0	0
7	1	0	0	1	0	0
8	2	1	1	0	0	0
9	0	0	0	0	0	0
10	1	1	0	0	0	0
11	0	0	0	0	0	0
12	0	0	0	0	0	0
13	0	0	0	0	0	0
14	1	1	0	0	0	0
Number of Organizations with Reports	1,376	451	544	256	83	47
Number of Reports	1,689	616	642	284	98	49
Reports Per Organization with Reports	1.23	1.37	1.18	1.11	1.18	1.04

*This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities in 1999, 2000 and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports. State Licensure Actions include actions reported under the Legacy and the Consolidated Adverse Action Report (CAAR) formats.

**Table B1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken*-- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Report Type	Prior to August 21, 1996**		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	14	1.0%	1,298	85.8%	3,417	80.7%	3,525	82.1%	3,438	84.3%	3,068	78.6%	2,705	75.6%	17,465	75.9%
Federal Licensure & DEA Action	229	16.4%	8	0.5%	26	0.6%	4	0.1%	16	0.4%	8	0.2%	1	0.0%	292	1.3%
Government Administrative Action	0	0.0%	0	0.0%	6	0.1%	3	0.1%	10	0.2%	7	0.2%	26	0.7%	52	0.2%
Exclusion or Debarment Action	1,156	82.6%	191	12.6%	731	17.3%	707	16.5%	547	13.4%	688	17.6%	652	18.2%	4,672	20.3%
Health Plan Action	0	0.0%	7	0.5%	28	0.7%	32	0.7%	50	1.2%	119	3.0%	186	5.2%	422	1.8%
Judgments or Conviction	0	0.0%	9	0.6%	26	0.6%	24	0.6%	19	0.5%	15	0.4%	10	0.3%	103	0.4%
Total	1,399	100.0%	1,513	100.0%	4,234	100.0%	4,295	100.0%	4,080	100.0%	3,905	100.0%	3,580	100.0%	23,006	100.0%
Percentage of Cumulative Reports	6.1%		6.6%		18.4%		18.7%		17.7%		17.0%		15.6%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year adverse action was taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date the HIPDB was established by legislation. All reportable actions taken after this date must be reported to the HIPDB. One report lacks valid information for the date adverse action taken.

Table B2: Reason for Action Taken Concerning Individuals by Report Type* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	1,393	12.8%	0	0.0%	3	5.8%	0	0.0%	9	2.2%	1,405	8.8%
Fraud	303	2.8%	0	0.0%	9	17.3%	505	11.6%	31	7.5%	848	5.3%
Unprofessional Conduct	1,756	16.1%	0	0.0%	5	9.6%	0	0.0%	23	5.6%	1,784	11.1%
Substandard Care/Services	1,370	12.5%	0	0.0%	1	1.9%	63	1.4%	35	8.5%	1,469	9.2%
Patient Abuse	20	0.2%	0	0.0%	0	0.0%	0	0.0%	2	0.5%	22	0.1%
Criminal Convictions	655	6.0%	0	0.0%	6	11.5%	552	12.7%	44	10.7%	1,257	7.8%
Health-Related Impairments	232	2.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	232	1.4%
Practices Directly Affecting Patient Care	55	0.5%	0	0.0%	0	0.0%	0	0.0%	7	1.7%	62	0.4%
Practices Indirectly Affecting Patient Care	462	4.2%	289	99.3%	2	3.8%	889	20.4%	68	16.5%	1,710	10.7%
Actions Taken by Federal/State/Local Authority	2,097	19.2%	0	0.0%	13	25.0%	2,314	53.1%	124	30.1%	4,548	28.4%
Other (Not Classified)	2,575	23.6%	2	0.7%	13	25.0%	38	0.9%	69	16.7%	2,697	16.8%
Total**	10,918	100.0%	291	100.0%	52	100.0%	4,361	100.0%	412	3.8%	16,034	100.0%
Percentage of Total Reports	68.1%		1.8%		0.3%		27.2%		2.6%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 5,498 reports for which a reason for action was not required to be included in the submitted report and 1,475 reports that lack information about the reason for action.

Table B3: Type of Action Taken Concerning Individuals by Reason for Action* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care /Services	Patient Abuse	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/ Local Authority	Other (Not Classified)	Total
Adverse Actions												
State Licensure Action												
Revocation of License	115	89	224	199	3	233	26	2	352	461	222	1,926
Probation of License	544	53	633	568	3	93	59	19	189	438	693	3,292
Suspension of License	549	79	412	255	7	235	96	10	113	581	710	3,047
Reprimand or Censure	7	25	258	177	2	28	0	11	15	268	157	948
Voluntary Surrender of License	77	26	90	44	1	45	25	4	47	209	139	707
Limitation or Restriction on License/ Practice	42	8	71	50	2	3	14	5	15	62	103	375
Denial of License (Renewal Only)	1	2	2	0	0	3	1	0	4	5	7	25
Administrative Fine/Monetary Penalty	3	4	10	36	0	2	0	2	2	25	44	128
License Reinstatement Denied	0	0	0	0	0	0	0	0	0	0	3	3
Other Licensure Action	55	17	55	41	2	13	11	2	13	47	496	752
Exclusion or Debarment Action												
Exclusion from Federal Health Care Program	0	15	0	44	0	384	0	0	79	626	0	1,148
Exclusion from a State Health Care Program	0	58	0	17	0	25	0	0	7	289	29	425
Exclusion from Medicare/Medicaid/All Other Federal Programs	0	296	0	0	0	117	0	0	436	1,181	5	2,035
Exclusion from Medicare & State Health Care Programs	0	77	0	0	0	16	0	0	159	161	0	413
Government Administrative Action												
Voluntary Surrender from Medicaid/State Program while Under Investigation	0	1	0	0	0	0	0	0	0	0	0	1
Administrative Fine/Monetary Penalty	0	0	0	0	0	0	0	0	0	0	0	1
Termination from Medicaid/Other State Program	0	7	0	0	0	0	0	0	0	2	1	10
Personnel Action--Employee Termination	0	0	0	1	0	0	0	0	0	0	2	3
Personnel Action (Not Classified)	1	0	0	0	0	0	0	0	0	0	0	1
Other Action (Not Classified)	2	1	5	0	0	6	0	0	1	11	10	36
Health Plan Action												
Contract Termination	7	28	20	29	2	44	0	7	67	113	59	376
Suspension of Contract	1	0	2	1	0	0	0	0	0	4	1	9
Denial of Contract Application or Renewal	1	1	1	4	0	0	0	0	1	4	4	16
Other Health Plan Action	0	2	0	1	0	0	0	0	0	3	5	11
Total Adverse Actions	1,405	789	1,783	1,467	22	1,247	232	62	1,501	4,490	2,690	15,688
Non-Adverse Actions												
State Licensure Action												
Licensure Completely or Partially Restored or Reinstated	0	0	1	0	0	0	0	0	1	1	2	5
Reduction of Previous Action	0	0	0	0	0	0	0	0	0	0	1	1
Exclusion or Debarment Action												
Reinstatement	0	59	0	2	0	10	0	0	208	57	4	340
Total Non-Adverse Actions	0	59	1	2	0	10	0	0	209	58	7	346
Total Actions Taken**	1,405	848	1,784	1,469	22	1,257	232	62	1,710	4,548	2,697	16,034

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 5,498 reports for which a reason for action was not required to be included in the submitted report; and 1,475 reports that lack information about the reason for action and/or the detailed type of action.

Table B4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Physicians	Number of Physicians with Reports	Number of Reports per Physician with Reports
Alabama	334	207	1.61
Alaska	85	74	1.15
Arizona	657	462	1.42
Arkansas	166	87	1.91
California	2,978	2,172	1.37
Colorado	456	373	1.22
Connecticut	297	186	1.60
Delaware	23	18	1.28
Florida	1,141	798	1.43
Georgia	623	426	1.46
Hawaii	59	47	1.26
Idaho	73	47	1.55
Illinois	745	520	1.43
Indiana	133	104	1.28
Iowa	329	262	1.26
Kansas	181	116	1.56
Kentucky	436	261	1.67
Louisiana	275	202	1.36
Maine	120	90	1.33
Maryland	590	380	1.55
Massachusetts	459	312	1.47
Michigan	1,156	701	1.65
Minnesota	297	177	1.68
Mississippi	290	151	1.92
Missouri	422	328	1.29
Montana	53	36	1.47
Nebraska	52	42	1.24
Nevada	116	88	1.32
New Hampshire	95	76	1.25
New Jersey	896	531	1.69
New Mexico	58	49	1.18
New York	2,484	2,001	1.24
North Carolina	314	227	1.38
North Dakota	123	85	1.45
Ohio	974	518	1.88
Oklahoma	332	215	1.54
Oregon	267	181	1.48
Pennsylvania	1,152	858	1.34
Rhode Island	81	60	1.35
South Carolina	290	158	1.84
South Dakota	24	22	1.09
Tennessee	309	198	1.56
Texas	1,243	752	1.65
Utah	168	120	1.40
Vermont	72	51	1.41
Virginia	590	303	1.95
Washington	438	301	1.46
West Virginia	250	162	1.54
Wisconsin	147	122	1.20
Wyoming	43	30	1.43
Washington, DC	61	55	1.11
Total**	23,007	15,789	1.46

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table B5: Number of Reports Concerning Individuals by Report Type and State* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	270	0	0	63	0	1	334
Alaska	82	1	0	2	0	0	85
Arizona	573	5	0	60	19	0	657
Arkansas	148	3	0	15	0	0	166
California	2,208	54	2	683	25	6	2,978
Colorado	398	9	1	46	1	1	456
Connecticut	232	3	0	59	3	0	297
Delaware	15	0	0	7	1	0	23
Florida	825	10	0	280	3	23	1,141
Georgia	477	9	1	136	0	0	623
Hawaii	39	2	2	15	0	1	59
Idaho	61	1	1	5	5	0	73
Illinois	559	9	0	169	8	0	745
Indiana	68	4	0	52	9	0	133
Iowa	270	3	0	41	15	0	329
Kansas	143	1	0	30	4	3	181
Kentucky	342	11	0	77	6	0	436
Louisiana	197	7	0	64	2	5	275
Maine	101	0	0	17	2	0	120
Maryland	428	7	0	128	24	3	590
Massachusetts	324	3	0	119	12	1	459
Michigan	949	11	7	141	48	0	1,156
Minnesota	203	0	0	68	25	1	297
Mississippi	252	2	2	32	0	2	290
Missouri	352	5	0	52	10	3	422
Montana	46	1	0	6	0	0	53
Nebraska	34	3	0	13	1	1	52
Nevada	68	7	0	35	6	0	116
New Hampshire	78	1	0	14	2	0	95
New Jersey	570	5	1	307	8	5	896
New Mexico	37	3	0	18	0	0	58
New York	1,802	16	0	628	12	26	2,484
North Carolina	229	5	1	60	19	0	314
North Dakota	104	0	0	15	4	0	123
Ohio	788	8	0	164	13	1	974
Oklahoma	271	10	1	49	1	0	332
Oregon	231	3	0	32	1	0	267
Pennsylvania	835	18	0	274	23	2	1,152
Rhode Island	59	1	0	16	5	0	81
South Carolina	236	2	0	48	0	4	290
South Dakota	17	0	0	6	1	0	24
Tennessee	180	4	0	78	43	4	309
Texas	927	20	17	256	17	6	1,243
Utah	144	1	1	20	1	1	168
Vermont	61	0	0	11	0	0	72
Virginia	479	10	0	93	7	1	590
Washington	343	1	14	62	15	3	438
West Virginia	201	3	1	36	9	0	250
Wisconsin	117	3	0	25	2	0	147
Wyoming	40	0	0	3	0	0	43
Washington, DC	41	6	0	11	3	0	61
Total**	17,465	292	52	4,672	422	104	23,007
Percentage of Total	75.9%	1.3%	0.2%	20.3%	1.8%	0.5%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

**Table B6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Number of Reports	Physicians with One or More HIPDB Reports	Physicians with One or More State Licensure Reports	Physicians with One or More Federal Licensure & DEA Reports	Physicians with One or More Government Administrative Action Reports	Physicians with One or More Exclusion or Debarment Reports	Physicians with One or More Health Plan Action Reports	Physicians with One or More Judgment or Conviction Reports
1	7,325	6,224	272	52	3,053	361	98
2	3,105	2,490	8	0	663	24	3
3	1,286	923	0	0	66	3	0
4	588	392	0	0	17	1	0
5	271	173	0	0	3	0	0
6	140	83	0	0	2	0	0
7	75	36	0	0	0	0	0
8	22	15	0	0	0	0	0
9	20	10	0	0	0	0	0
10	8	2	0	0	0	0	0
11	2	2	0	0	0	0	0
12	2	0	0	0	0	0	0
13	0	1	0	0	0	0	0
14	3	2	0	0	0	0	0
15	0	0	0	0	0	0	0
16	0	1	0	0	0	0	0
17	0	0	0	0	0	0	0
18	1	0	0	0	0	0	0
Number of Physicians with Reports	12,848	10,354	281	52	3,804	389	101
Number of Reports	23,007	17,465	292	52	4,672	422	104
Reports per Physician with Reports	1.79	1.69	1.04	1.00	1.23	1.08	1.03

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table C1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996**		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	3	1.1%	316	78.6%	904	72.7%	868	76.3%	832	75.3%	792	73.9%	606	68.6%	4,321	70.7%
Federal Licensure & DEA Action	1	0.4%	7	1.7%	21	1.7%	31	2.7%	58	5.2%	65	6.1%	86	9.7%	269	4.4%
Government Administrative Action	3	1.1%	1	0.2%	2	0.2%	0	0.0%	4	0.4%	0	0.0%	0	0.0%	10	0.2%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.3%	3	0.3%	3	0.3%	9	0.1%
Exclusion or Debarment Action	260	97.4%	77	19.2%	304	24.4%	228	20.1%	186	16.8%	194	18.1%	187	21.2%	1,436	23.5%
Judgment or Conviction	0	0.0%	1	0.2%	13	1.0%	10	0.9%	22	2.0%	17	1.6%	2	0.2%	65	1.1%
Total	267	100.0%	402	100.0%	1244	100.0%	1137	100.0%	1105	100.0%	1,071	100.0%	884	100.0%	6,110	100.0%
Percentage of Cumulative Reports		4.4%		6.6%		20.4%		18.6%		18.1%		17.5%		14.5%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized the establishment of the HIPDB.

Table C2: Reason for Action Taken Concerning Individuals by Report Type* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	290	9.4%	0	0.0%	0	0.0%	0	0.0%	1	0.4%	291	6.2%
Fraud	163	5.3%	0	0.0%	3	33.3%	149	11.2%	18	6.8%	333	7.1%
Unprofessional Conduct	538	17.4%	0	0.0%	2	22.2%	0	0.0%	7	2.6%	547	11.6%
Substandard Care/Services	561	18.1%	0	0.0%	0	0.0%	0	0.0%	35	13.2%	596	12.7%
Patient Abuse	5	0.2%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	5	0.1%
Criminal Convictions	113	3.7%	0	0.0%	1	11.1%	124	9.3%	16	6.0%	254	5.4%
Health-Related Impairments	10	0.3%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	10	0.2%
Practices Directly Affecting Patient Care	145	4.7%	0	0.0%	0	0.0%	0	0.0%	1	0.4%	146	3.1%
Practices Indirectly Affecting Patient Care	220	7.1%	10	100.0%	1	11.1%	745	56.1%	90	33.8%	1,066	22.6%
Actions Taken by Fed./State/Local Authority	150	4.8%	0	0.0%	0	0.0%	304	22.9%	88	33.1%	542	11.5%
Other (Not Classified)	899	29.1%	0	0.0%	2	22.2%	6	0.5%	10	3.8%	917	19.5%
Total**	3,094	100.0%	10	100.0%	9	100.0%	1,328	100.0%	266	100.0%	4,707	100.0%
Percentage of Total Reports	65.7%		0.2%		0.2%		28.2%		5.7%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 1,064 reports for which a reason for action was not required to be included in the submitted report and 339 reports that lack information on reason for action.

Table C3: Type of Action Taken Concerning Individuals by Reason for Actions* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/ Services	Patient Abuse	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/ Local Authority	Other (Not Classified)	Total
Adverse Actions												
State Licensure Action												
Revocation of License	16	18	36	27	0	20	0	3	31	18	50	219
Probation of License	142	51	218	342	2	38	3	80	127	46	428	1,477
Suspension of License	98	45	75	95	2	47	3	33	43	45	174	660
Reprimand or Censure	2	10	98	54	0	2	0	15	0	15	100	296
Voluntary Surrender of License	24	8	8	14	0	2	2	2	9	5	26	100
Reprimand, Censure, Voluntary Surrender	0	0	0	0	0	0	0	0	0	0	0	0
Limitation or Restriction on License or Practice	1	0	3	5	1	0	0	1	1	1	6	19
Denial of License (Renewal Only)	0	0	0	0	0	0	0	0	13	0	2	13
Administrative Fine/Monetary Penalty	0	20	40	14	0	1	0	5	3	9	45	137
Other Licensure Action	7	11	60	10	0	3	2	6	3	11	70	183
Exclusion or Debarment Action												
Exclusion from Federal Health Care Program	0	4	0	0	0	96	0	0	102	58	0	260
Exclusion from a State Health Care Program	0	3	0	0	0	5	0	0	2	83	5	98
Exclusion from Medicare, Medicaid & Other Federal Programs.	0	103	0	0	0	14	0	0	350	140	1	608
Exclusion from Medicare & State Health Care Programs	0	25	0	0	0	3	0	0	141	16	0	185
Government Administrative Action												
Termination from Medicaid/Other State Program	0	3	0	0	0	0	0	0	0	0	0	3
Other Action (Not Classified)	0	0	2	0	0	1	0	0	1	0	2	6
Health Plan Action												
Contract Termination	1	17	7	35	0	16	0	1	90	84	8	259
Suspension of Contract	0	0	0	0	0	0	0	0	0	2	0	2
Other Action (Not Classified)	0	1	0	0	0	0	0	0	0	2	2	5
Total Adverse Actions	291	319	547	596	5	248	10	146	916	535	917	4,525
Non-Adverse Actions												
Exclusion or Debarment Action												
Reinstatement	0	14	0	0	0	6	0	0	150	7	0	177
Total Non-Adverse Actions	0	14	0	0	0	6	0	0	150	7	0	177
Total Actions Taken	291	333	547	596	5	254	10	146	1,066	542	917	4,707

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports. The total excludes 1,064 reports for which a reason for action was not required to be included in the submitted report and 339 reports that lack information on reason for action..

Table C4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Dentists	Number of Dentists with Reports	Number of Reports per Dentist with Reports
Alabama	67	58	1.16
Alaska	23	17	1.35
Arizona	319	213	1.50
Arkansas	22	18	1.22
California	530	400	1.33
Colorado	264	215	1.23
Connecticut	129	75	1.72
Delaware	3	3	1.00
Florida	354	269	1.32
Georgia	111	98	1.13
Hawaii	12	9	1.33
Idaho	14	10	1.40
Illinois	286	180	1.59
Indiana	37	26	1.42
Iowa	83	69	1.20
Kansas	39	31	1.26
Kentucky	64	50	1.28
Louisiana	92	78	1.18
Maine	29	25	1.16
Maryland	174	102	1.71
Massachusetts	181	101	1.79
Michigan	401	231	1.74
Minnesota	135	73	1.85
Mississippi	60	42	1.43
Missouri	86	70	1.23
Montana	3	3	1.00
Nebraska	26	17	1.53
Nevada	27	25	1.08
New Hampshire	11	8	1.38
New Jersey	200	129	1.55
New Mexico	19	14	1.36
New York	344	261	1.32
North Carolina	105	86	1.22
North Dakota	0	0	0.00
Ohio	413	256	1.61
Oklahoma	65	51	1.27
Oregon	172	139	1.24
Pennsylvania	345	236	1.46
Rhode Island	6	6	1.00
South Carolina	68	46	1.48
South Dakota	1	1	1.00
Tennessee	111	75	1.48
Texas	232	181	1.28
Utah	60	43	1.40
Vermont	4	3	1.33
Virginia	213	129	1.65
Washington	46	36	1.28
West Virginia	9	9	1.00
Wisconsin	72	50	1.44
Wyoming	5	5	1.00
Washington, DC	19	14	1.36
Total**	6,106	4,299	1.42

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports. An additional 4 reports for 2 dentists lack information for State.

** The total includes records for U.S. territories and Armed Forces locations overseas.

TABLE C5: Number of Reports Concerning Individuals by Report Type and State* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Health Plan Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	57	0	0	0	10	0	67
Alaska	15	0	0	0	8	0	23
Arizona	289	1	0	10	19	0	319
Arkansas	10	0	0	1	10	1	22
California	218	4	0	121	186	1	530
Colorado	254	0	0	1	9	0	264
Connecticut	110	0	1	4	14	0	129
Delaware	2	0	0	0	1	0	3
Florida	266	0	0	7	70	11	354
Georgia	84	0	0	2	25	0	111
Hawaii	5	0	0	0	7	0	12
Idaho	12	0	0	0	2	0	14
Illinois	232	0	0	3	51	0	286
Indiana	25	0	0	0	12	0	37
Iowa	75	0	0	1	7	0	83
Kansas	15	0	0	8	10	6	39
Kentucky	47	0	0	0	16	1	64
Louisiana	77	0	0	0	14	1	92
Maine	26	0	0	0	3	0	29
Maryland	135	0	0	5	33	1	174
Massachusetts	122	1	0	5	52	1	181
Michigan	325	0	5	19	52	0	401
Minnesota	97	0	0	24	14	0	135
Mississippi	52	0	0	0	7	1	60
Missouri	63	0	0	3	19	1	86
Montana	3	0	0	0	0	0	3
Nebraska	19	0	0	1	6	0	26
Nevada	22	0	0	1	4	0	27
New Hampshire	10	0	0	0	1	0	11
New Jersey	114	0	0	5	81	0	200
New Mexico	11	0	0	0	8	0	19
New York	164	0	0	16	147	17	344
North Carolina	92	0	0	0	13	0	105
North Dakota	0	0	0	0	0	0	0
Ohio	363	0	0	5	43	2	413
Oklahoma	47	0	0	2	16	0	65
Oregon	156	1	0	1	14	0	172
Pennsylvania	164	1	0	7	165	8	345
Rhode Island	3	0	0	1	2	0	6
South Carolina	44	0	0	0	19	5	68
South Dakota	0	0	0	0	1	0	1
Tennessee	48	1	0	4	56	2	111
Texas	137	0	3	3	87	2	232
Utah	46	0	0	0	14	0	60
Vermont	4	0	0	0	0	0	4
Virginia	180	0	0	2	31	0	213
Washington	27	0	0	0	17	2	46
West Virginia	7	0	0	0	2	0	9
Wisconsin	44	0	0	1	25	2	72
Wyoming	3	0	0	0	2	0	5
Washington, DC	0	1	0	0	18	0	19
Total**	4,321	10	9	269	1,432	65	6,106
Percentage of Total	70.7%	0.2%	0.1%	4.4%	23.4%	1.1%	99.9%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes records for U.S. territories and Armed Forces locations overseas. Four Exclusion Action reports for 2 dentists lack information for State.

**Table C6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Number of Reports	Dentists with One or More HIPDB Reports	Dentists with One or More State Licensure Reports	Dentists with One or More Federal Licensure & DEA Reports	Dentists with One or More Government Administrative Action Reports	Dentists with One or More Exclusion or Debarment Reports	Dentists with One or More Health Plan Action Reports	Dentists with One or More Judgment or Conviction Reports
1	2,763	2,293	10	9	834	233	51
2	928	602	0	0	247	15	5
3	289	185	0	0	29	2	0
4	89	35	0	0	4	0	1
5	26	9	0	0	1	0	0
6	10	7	0	0	0	0	0
7	7	2	0	0	0	0	0
8	2	2	0	0	0	0	0
9	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	1	0	0	0	0	0
13	1	0	0	0	0	0	0
Number of Dentists with Reports	4,115	3,136	10	9	1,115	250	57
Number of Reports	6,110	4,321	10	9	1,436	269	269
Reports per Dentist with Reports	1.48	1.38	1.00	1.00	1.29	1.08	4.72

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

**Table D1: Number of Reports Concerning Individuals by Report Type* -- Nurses and Related Personnel
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Report Type	RNs**		LPN/Vocational Nurses		Nurses Aides		Home Health Aides		Total Nurses and Related Personnel	
	Number of Reports	Percent of RN Reports	Number of Reports	Percent of LPN Reports	Number of Reports	Percent of Nurses Aide	Number of Reports	Percent of Home Health	Number of Reports	Percent of Total Reports
State Licensure Action	25,909	97.5%	14,364	96.3%	2,889	26.0%	17	3.7%	43,179	81.4%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	31	0.1%	0	0.0%	1,384	12.5%	10	2.2%	1,425	2.7%
Exclusion or Debarment Action	574	2.2%	435	2.9%	6,218	56.0%	390	85.0%	7,617	14.4%
Health Plan Action	2	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.0%
Judgment or Conviction	65	0.2%	115	0.8%	607	5.5%	42	9.2%	829	1.6%
Total	26,581	100.0%	14,914	100.0%	11,098	100.0%	459	100.0%	53,052	100.0%
Percentage of Total Reports		50.1%		28.1%		20.9%		0.9%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

Table D2: Number of Reports Concerning Individuals by Profession Type and State* -- Nurses and Related Personnel (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	RN** Reports	LPN/Vocational Nurse Reports	Nurses Aide Reports	Home Health Aide (Homemaker) Reports	Total Reports
Alabama	1,077	846	420	2	2,345
Alaska	67	12	74	1	154
Arizona	1,627	455	72	11	2,499
Arkansas	718	657	600	10	1,985
California	843	933	1,188	40	3,004
Colorado	517	251	232	5	1,005
Connecticut	433	203	319	1	956
Delaware	70	32	63	1	166
Florida	66	39	165	8	278
Georgia	1,218	174	55	2	1,449
Hawaii	23	4	2	2	31
Idaho	30	35	37	11	113
Illinois	713	513	296	3	1,525
Indiana	114	104	227	0	445
Iowa	274	168	154	6	602
Kansas	197	115	20	7	339
Kentucky	1,211	772	79	0	2,062
Louisiana	1,643	492	249	28	2,412
Maine	102	31	57	0	190
Maryland	168	80	67	18	333
Massachusetts	323	198	185	1	707
Michigan	1,318	479	123	0	1,920
Minnesota	572	461	221	11	1,265
Mississippi	1,105	936	616	43	2,700
Missouri	514	400	47	6	967
Montana	86	47	16	1	150
Nebraska	67	51	5	0	123
Nevada	288	160	172	8	628
New Hampshire	224	48	251	1	524
New Jersey	267	104	76	29	476
New Mexico	184	75	15	10	284
New York	632	656	381	21	1,690
North Carolina	1,242	729	77	2	2,050
North Dakota	108	105	12	0	225
Ohio	482	256	149	7	894
Oklahoma	683	741	172	28	1,624
Oregon	147	51	360	15	573
Pennsylvania	618	369	269	1	1,257
Rhode Island	88	30	128	7	253
South Carolina	958	304	60	34	1,356
South Dakota	131	70	14	3	218
Tennessee	519	498	122	7	1,146
Texas	3,313	1,213	1,225	12	5,763
Utah	121	67	247	19	454
Vermont	42	17	147	5	211
Virginia	420	333	1,126	1	1,880
Washington	506	287	424	24	1,241
West Virginia	280	233	15	4	532
Wisconsin	143	60	59	2	264
Wyoming	89	20	3	0	112
Washington, DC	0	0	3	1	4
Total***	26,581	14,914	11,097	459	53,051

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

*** The total includes reports for U.S. territories and Armed Forces overseas. It excludes one report for a Nurses Aide that lacks information for State.

Table E1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Report Type	Prior to August 21, 1996***		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	409	99.3%	2,025	99.5%	6,160	98.6%	6,888	98.9%	6,054	99.3%	2,579	91.2%	1,794	90.1%	25,909	97.5%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	1	0.2%	0	0.0%	3	0.0%	3	0.0%	8	0.1%	16	0.6%	0	0.0%	31	0.1%
Exclusion or Debarment Action	2	0.5%	5	0.2%	75	1.2%	61	0.9%	20	0.3%	217	7.7%	194	9.7%	574	2.2%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%	1	0.1%	2	0.0%
Judgment or Conviction	0	0.0%	5	0.2%	10	0.2%	15	0.2%	17	0.3%	16	0.6%	2	0.1%	65	0.2%
Total	412	100.0%	2,035	100.0%	6,248	100.0%	6,967	100.0%	6,099	100.0%	2,829	100.0%	1,991	100.0%	26,581	100.0%
Percentage of Cumulative Reports	1.5%		7.7%		23.5%		26.2%		22.9%		10.6%		7.5%		100.0%	

** This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses (RNs), Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners

*** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authori:

Table E2: Reason for Action Taken Concerning Individuals by Report Type* -- RNs**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	2,644	17.8%	6	19.4%	0	0.0%	0	0.0%	2,650	17.2%
Fraud	151	1.0%	0	0.0%	2	0.3%	0	0.0%	153	1.0%
Unprofessional Conduct	1,397	9.4%	10	32.3%	0	0.0%	0	0.0%	1,407	9.1%
Substandard Care/Services	441	3.0%	1	3.2%	0	0.0%	0	0.0%	442	2.9%
Patient Abuse	43	0.3%	1	3.2%	0	0.0%	0	0.0%	44	0.3%
Misappropriation of Patient Property	3	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.0%
Criminal Convictions	513	3.5%	0	0.0%	11	1.9%	0	0.0%	524	3.4%
Health-Related Impairments	37	0.2%	0	0.0%	0	0.0%	0	0.0%	37	0.2%
Practices Directly Affecting Patient Care	344	2.3%	0	0.0%	0	0.0%	0	0.0%	344	2.2%
Practices Indirectly Affecting Patient Care	518	3.5%	1	3.2%	2	0.3%	0	0.0%	521	3.4%
Actions Taken by Federal/State/Local Authority	323	2.2%	1	3.2%	485	84.5%	0	0.0%	809	5.2%
Other (Not Classified)	8,403	56.7%	11	35.5%	74	12.9%	2	100.0%	8,490	55.0%
Total***	14,817	100.0%	31	100.0%	574	100.0%	2	100.0%	15,424	100.0%
Percentage of Total Reports		96.1%		0.2%		3.7%		0.0%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

*** Total actions excludes 10,185 reports for which the reason for action was not required to be included in the submitted report and/or 972 reports that lack information about the reason for action.

Table E3: Type of Action Taken Concerning Individuals by Reason for Action* – RNs**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/Services	Patient Abuse	Misappropriation of Patient Property	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/State/Local Authority	Other (Not Classified)	Total
Adverse Actions													
State Licensure Action													
Revocation of License	159	18	67	16	3	0	60	0	0	56	67	1,188	1,634
Probation of License	758	26	371	211	21	1	128	9	54	95	61	4,606	6,341
Suspension of License	845	34	192	81	4	1	171	17	12	100	75	1,780	3,312
Reprimand or Censure	30	32	339	43	7	1	27	0	196	8	33	241	957
Voluntary Surrender of License	283	6	140	21	5	0	53	10	8	74	38	140	778
Limitation or Restriction on License/ Practice	427	0	99	62	1	0	17	1	11	12	7	84	721
Denial of License (Renewal Only)	3	0	3	1	0	0	3	0	0	158	4	2	195
Administrative Fine/Monetary Penalty	43	12	98	3	2	0	19	0	41	1	13	57	289
License Reinstatement Denied	3	2	9	0	0	0	7	0	0	0	2	10	158
Extension of Previous Licensure Action	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Licensure Action	63	19	44	3	0	0	19	0	7	14	21	128	318
Government Administrative Action													
Other Action (Not Classified)	6	0	10	1	1					1	1	11	31
Exclusion or Debarment Action													
Exclusion from Federal Health Care Program	0	0	0	0	0	0	0	0	0	0	7	0	7
Exclusion from a State Health Care Program	0	2	0	0	0	0	11	0	0	2	478	74	567
Health Plan Plan Action													
Contract Termination	0	0	0	0	0	0	0	0	0	0	0	2	2
Total Adverse Actions	2,620	151	1,372	442	44	3	515	37	329	521	807	8,323	15,310
Non-Adverse Actions													
State Licensure Action													
Licensure Completely or Partially Restored or Reinstated	28	2	35	0	0	0	9	0	15	0	2	162	253
Reduction of Previous Licensure Action	0	0	0	0	0	0	0	0	0	0	0	2	2
Total Non-Adverse Actions	28	2	35	0	0	0	9	0	15	0	2	164	255
Total Actions Taken***	2,648	153	1,407	442	44	3	524	37	344	521	809	8,487	15,565

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

***Total actions exclude 10,185 reports for which the reason for action was not required to be included in the submitted report and/or 972 reports that lack information about the reason for action and/or 65 reports that lack information about the detailed type of action taken.

Table E4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- RNs**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for RNs	Number of RNs with Reports	Number of Reports Per RN with Reports
Alabama	1,077	709	1.52
Alaska	67	50	1.34
Arizona	1,627	860	1.89
Arkansas	718	348	2.06
California	843	560	1.51
Colorado	517	426	1.21
Connecticut	433	233	1.86
Delaware	70	43	1.63
Florida	66	65	1.02
Georgia	1,218	363	3.36
Hawaii	23	23	1.00
Idaho	30	26	1.15
Illinois	713	457	1.56
Indiana	114	74	1.54
Iowa	274	214	1.28
Kansas	197	152	1.30
Kentucky	1,211	460	2.63
Louisiana	1,643	593	2.77
Maine	102	71	1.44
Maryland	168	120	1.40
Massachusetts	323	292	1.11
Michigan	1,318	797	1.65
Minnesota	572	315	1.82
Mississippi	1,105	617	1.79
Missouri	514	384	1.34
Montana	86	59	1.46
Nebraska	67	44	1.52
Nevada	288	189	1.52
New Hampshire	224	161	1.39
New Jersey	267	197	1.36
New Mexico	184	123	1.50
New York	632	609	1.04
North Carolina	1,242	870	1.43
North Dakota	108	61	1.77
Ohio	482	259	1.86
Oklahoma	683	370	1.85
Oregon	147	113	1.30
Pennsylvania	618	432	1.43
Rhode Island	88	48	1.83
South Carolina	958	329	2.91
South Dakota	131	108	1.21
Tennessee	519	362	1.43
Texas	3,313	1,679	1.97
Utah	121	83	1.46
Vermont	42	37	1.14
Virginia	420	296	1.42
Washington	506	245	2.07
West Virginia	280	130	2.15
Wisconsin	143	140	1.02
Wyoming	89	39	2.28
Washington, D.C.	0	0	0.00
Total***	26,581	15,235	1.74

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

*** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table E5: Number of Reports Concerning Individuals by Report Type and State* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	1,077	0	0	0	0	1,077
Alaska	67	0	0	0	0	67
Arizona	1,626	0	1	0	0	1,627
Arkansas	709	0	5	0	4	718
California	832	0	11	0	0	843
Colorado	503	0	7	0	7	517
Connecticut	432	0	1	0	0	433
Delaware	68	0	2	0	0	70
Florida	59	0	2	0	5	66
Georgia	1,217	0	1	0	0	1,218
Hawaii	22	0	1	0	0	23
Idaho	28	0	2	0	0	30
Illinois	711	0	2	0	0	713
Indiana	113	0	1	0	0	114
Iowa	274	0	0	0	0	274
Kansas	196	0	0	0	1	197
Kentucky	1,209	0	2	0	0	1,211
Louisiana	1,634	0	6	0	3	1,643
Maine	99	0	1	0	2	102
Maryland	165	1	1	0	1	168
Massachusetts	323	0	0	0	0	323
Michigan	1,316	0	1	0	1	1,318
Minnesota	571	0	0	1	0	572
Mississippi	1,100	0	0	0	5	1,105
Missouri	507	0	3	0	4	514
Montana	86	0	0	0	0	86
Nebraska	66	0	1	0	0	67
Nevada	284	0	2	0	2	288
New Hampshire	223	0	1	0	0	224
New Jersey	215	0	51	0	1	267
New Mexico	181	0	3	0	0	184
New York	616	0	4	0	12	632
North Carolina	1,237	0	5	0	0	1,242
North Dakota	108	0	0	0	0	108
Ohio	478	2	1	0	1	482
Oklahoma	673	0	10	0	0	683
Oregon	145	0	1	1	0	147
Pennsylvania	491	0	126	0	1	618
Rhode Island	86	0	0	0	2	88
South Carolina	956	0	2	0	0	958
South Dakota	131	0	0	0	0	131
Tennessee	518	0	1	0	0	519
Texas	2,989	0	313	0	11	3,313
Utah	121	0	0	0	0	121
Vermont	42	0	0	0	0	42
Virginia	419	0	1	0	0	420
Washington	504	0	1	0	1	506
West Virginia	250	28	1	0	1	280
Wisconsin	143	0	0	0	0	143
Wyoming	89	0	0	0	0	89
Washington, DC	0	0	0	0	0	0
Total***	25,909	31	574	2	65	26,581
Percentage of Total	97.5%	0.1%	2.2%	0.0%	0.2%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

*** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table E6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- RNs**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Number of Reports	RNs with One or More HIPDB Reports	RNs with One or More State Licensure Reports	RNs with One or More Federal Licensure & DEA Reports	RNs with One or More Government Administrative Action Reports	RNs with One or More Exclusion or Debarment Reports	RNs with One or More Health Plan Action Reports	RNs with One or More Judgment or Conviction Reports
1	8,620	8,624	0	25	554	2	53
2	4,154	3,921	0	3	10	0	6
3	1,514	1,474	0	0	0	0	0
4	441	424	0	0	0	0	0
5	183	180	0	0	0	0	0
6	133	133	0	0	0	0	0
7	82	81	0	0	0	0	0
8	45	45	0	0	0	0	0
9	23	23	0	0	0	0	0
10	14	14	0	0	0	0	0
11	7	7	0	0	0	0	0
12	7	7	0	0	0	0	0
13	2	2	0	0	0	0	0
14	1	1	0	0	0	0	0
15	2	2	0	0	0	0	0
16	3	3	0	0	0	0	0
17	1	1	0	0	0	0	0
18	2	2	0	0	0	0	0
19	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0
21	1	1	0	0	0	0	0
Number of RNs with Reports	15,235	14,945	0	28	564	2	59
Number of Reports	26,581	25,909	0	31	574	2	65
Reports per RN with Reports	1.74	1.73	0.00	1.11	1.02	1.00	1.10

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, and Nurse Practitioners.

**Table F1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Report Type	Prior to Aug. 21, 1996**		Aug 21 - Dec 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	593	99.5%	1,190	83.4%	3,698	76.5%	4,076	73.6%	3,369	67.1%	2,464	50.5%	1,863	50.1%	17,253	66.3%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	1	0.2%	68	4.8%	213	4.4%	261	4.7%	232	4.6%	493	10.1%	116	3.1%	1,384	5.3%
Exclusion or Debarment Action	2	0.3%	120	8.4%	757	15.7%	1,020	18.4%	1,257	25.0%	1,806	37.0%	1,691	45.5%	6,653	25.6%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Judgment or Conviction	0	0.0%	49	3.4%	166	3.4%	181	3.3%	165	3.3%	114	2.3%	47	1.3%	722	2.8%
Total	596	100.0%	1,427	100.0%	4,834	100.0%	5,538	100.0%	5,023	100.0%	4,877	100.0%	3,717	100.0%	26,012	100.0%
Percentage of Cumulative Reports	2.3%		5.5%		18.6%		21.3%		19.3%		18.7%		14.3%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized establishment of the HIPDB.

**Table F2: Reason for Action Taken Concerning Individuals by Report Type* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Reason for Action	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	1,529	12.6%	7	0.5%	0	0.0%	1,536	7.6%
Fraud	253	2.1%	0	0.0%	370	5.6%	623	3.1%
Unprofessional Conduct	1,924	15.8%	71	5.1%	0	0.0%	1,995	9.9%
Substandard Care/Services	478	3.9%	69	5.0%	0	0.0%	547	2.7%
Patient Abuse	880	7.2%	686	49.6%	0	0.0%	1,566	7.8%
Misappropriation of Patient Property	121	1.0%	126	9.1%	0	0.0%	247	1.2%
Criminal Convictions	855	7.0%	371	26.8%	1,335	20.4%	2,561	12.7%
Health-Related Impairments	27	0.2%	0	0.0%	0	0.0%	27	0.1%
Practices Directly Affecting Patient Care	208	1.7%	0	0.0%	0	0.0%	208	1.0%
Practices Indirectly Affecting Patient Care	519	4.3%	4	0.3%	7	0.1%	530	2.6%
Actions Taken by Federal/State/Local Authority	96	0.8%	1	0.1%	4,803	73.3%	4,900	24.4%
Other (Not Classified)	5,273	43.4%	49	3.5%	38	0.6%	5,360	26.7%
Total**	12,163	100.0%	1,384	100.0%	6,553	100.0%	20,100	100.0%
Percentage of Total Reports		60.5%		6.9%		32.6%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

* The total excludes 4,574 reports for LPNs and Nurses Aides for which the reason for action was not required to be included in the submitted report and 1,338 reports that lack information about the reason for action.

Table F3: Type of Action Taken Concerning Individuals by Reason for Action* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/ Services	Patient Abuse	Misappropriation of Patient Property	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/Local Authority	Other (Not Classified)	Total
Adverse Actions													
State Licensure Action													
Revocation of License	179	41	482	104	646	89	128	1	8	54	29	1,215	2,976
Probation of License	536	42	400	166	38	0	284	5	36	82	13	2,219	2,639
Suspension of License	392	60	239	85	22	11	162	6	9	153	17	1,172	2,322
Reprimand or Censure	34	37	385	68	98	11	61	0	116	1	11	236	1,058
Voluntary Surrender of License	149	11	201	25	37	5	45	10	5	59	8	133	688
Limitation or Restriction on License/ Practice	141	2	40	22	5	0	27	2	3	2	2	35	281
Denial of License (Renewal Only)	3	2	2	1	1	1	10	1	0	158	0	6	185
Administrative Fine/Monetary Penalty	44	9	74	0	0	0	33	0	15	0	1	43	219
License Reinstatement Denied	2	2	13	0	1	2	18	0	0	0	4	22	64
Extension of Previous Licensure Action	0	0	0	0	0	0	1	0	0	0	0	1	2
Other Licensure Action	42	41	66	6	30	8	72	2	6	10	11	91	385
Exclusion or Debarment Action													
Exclusion from Federal Health Care Program	0	0	0	0	0	0	1	0	0	0	188	0	189
Exclusion from a State Health Care Program	0	3	0	0	0	0	39	0	0	0	393	38	473
Exclusion from Medicare/Medicaid/Other Federal Programs	0	288	0	0	0	0	1,071	0	0	6	3,982	0	5,347
Exclusion from Medicare & State Health Care Programs	0	69	0	0	0	0	213	0	0	1	210	0	493
Government Administrative Action													
Disqualification of Clinical Investigator	0	0	0	0	2	0	0	0	0	0	0	0	2
DisqualifiedBased on State Nurses Aide Registry	1	0	14	62	667	113	8	0	0	1	0	49	915
Personnel Action--Employee Termination	5	0	56	0	4	5	363	0	0	3	1	0	437
Personnel Action--Not Classified	0	0	0	0	1	0	0	0	0	0	0	0	1
Other Action--Not Classified	1	0	1	7	12	8	0	0	0	0	0	0	29
Total Adverse Actions	1,529	607	1,973	546	1,564	247	2,536	27	198	530	4,870	5,260	19,887
Non-Adverse Actions													
State Licensure Action													
Licensure Completely or Partially Restored or Reinstated	7	6	22	1	2	0	14	0	10	0	0	98	160
Reduction of Previous Licensure Action	0	0	0	0	0	0	0	0	0	0	0	2	2
Exclusion or Debarment Action													
Reinstatement (Exclusion)	0	10	0	0	0	0	11	0	0	0	30	0	51
Total Non-Adverse Actions	7	16	22	1	2	0	25	0	10	0	30	100	213
Total Actions Taken**	1,536	623	1,995	547	1,566	247	2,561	27	208	530	4,900	5,360	20,100

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 4,574 reports for LPNs and Nurses Aides for which the reason for action was not required to be included in the submitted report and 1,338 reports that lack information about the reason for action.

Table F4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports Per Individual with Reports by State* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for LPNs/Nurses Aides	Number of LPNs/Nurses Aides with Reports	Number of Reports Per LPN/Nurses Aide with Reports
Alabama	1,266	874	1.45
Alaska	86	68	1.26
Arizona	527	327	1.61
Arkansas	1,257	771	1.63
California	2,121	1,652	1.28
Colorado	483	417	1.16
Connecticut	522	378	1.38
Delaware	95	84	1.13
Florida	204	195	1.05
Georgia	229	170	1.35
Hawaii	6	6	1.00
Idaho	72	53	1.36
Illinois	809	571	1.42
Indiana	331	277	1.19
Iowa	322	258	1.25
Kansas	135	115	1.17
Kentucky	851	372	2.29
Louisiana	741	552	1.34
Maine	88	76	1.16
Maryland	147	118	1.25
Massachusetts	383	330	1.16
Michigan	602	395	1.52
Minnesota	682	390	1.75
Mississippi	1,552	890	1.74
Missouri	447	318	1.41
Montana	63	41	1.54
Nebraska	56	39	1.44
Nevada	357	257	1.39
New Hampshire	299	221	1.35
New Jersey	180	136	1.32
New Mexico	90	70	1.29
New York	1,037	822	1.26
North Carolina	806	614	1.31
North Dakota	117	66	1.77
Ohio	405	285	1.42
Oklahoma	913	534	1.71
Oregon	411	378	1.09
Pennsylvania	638	418	1.53
Rhode Island	158	136	1.16
South Carolina	364	179	2.03
South Dakota	84	65	1.29
Tennessee	620	451	1.37
Texas	2,438	1,845	1.32
Utah	314	240	1.31
Vermont	164	131	1.25
Virginia	1,459	941	1.55
Washington	711	526	1.35
West Virginia	248	197	1.26
Wisconsin	119	93	1.28
Wyoming	23	16	1.44
Washington, DC	3	3	1.00
Total**	26,012	18,363	1.42

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table F5: Number of Reports Concerning Individuals by Report Type and State* -- LPNs and Nurses Aides (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	843	0	422	1	1,266
Alaska	72	0	14	0	86
Arizona	455	0	72	0	527
Arkansas	646	375	146	90	1,257
California	932	780	409	0	2,121
Colorado	240	5	210	28	483
Connecticut	366	1	155	0	522
Delaware	32	27	36	0	95
Florida	33	0	167	4	204
Georgia	174	1	54	0	229
Hawaii	4	0	1	1	6
Idaho	35	0	37	0	72
Illinois	513	0	295	1	809
Indiana	105	173	53	0	331
Iowa	168	0	154	0	322
Kansas	112	0	18	5	135
Kentucky	772	0	78	1	851
Louisiana	484	3	145	109	741
Maine	31	0	57	0	88
Maryland	77	2	48	20	147
Massachusetts	198	1	184	0	383
Michigan	479	0	122	1	602
Minnesota	460	0	221	1	682
Mississippi	1,076	0	336	140	1,552
Missouri	399	3	27	18	447
Montana	47	0	9	7	63
Nebraska	50	0	6	0	56
Nevada	271	1	57	3	332
New Hampshire	163	0	130	6	299
New Jersey	88	0	92	0	180
New Mexico	72	0	18	0	90
New York	627	0	300	110	1,037
North Carolina	729	0	77	0	806
North Dakota	105	0	12	0	117
Ohio	255	0	150	0	405
Oklahoma	738	6	164	5	913
Oregon	403	0	8	0	411
Pennsylvania	295	0	342	1	638
Rhode Island	29	0	126	3	158
South Carolina	301	0	57	6	364
South Dakota	70	0	14	0	84
Tennessee	497	1	104	18	620
Texas	1,466	3	865	104	2,438
Utah	266	2	46	0	314
Vermont	62	0	101	1	164
Virginia	1,037	0	422	0	1,459
Washington	668	0	34	9	711
West Virginia	233	0	15	0	248
Wisconsin	55	0	35	29	119
Wyoming	20	0	3	0	23
Washington, DC	0	0	3	0	3
Total**	17,253	1,384	6,653	722	26,012
Percentage of Total	66.3%	5.3%	25.6%	2.8%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

**Table F6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Number of Reports	LPNs and Nurses Aides with One or More HIPDB Reports	LPNs and Nurses Aides with One or More State Licensure Reports	LPNs and Nurses Aides with One or More Federal Licensure & DEA Reports	LPNs and Nurses Aides with One or More Government Administrative Action Reports	LPNs and Nurses Aides with One or More Exclusion or Debarment Reports	LPNs and Nurses Aides with One or More Health Plan Action Reports	LPNs and Nurses Aides with One or More Judgments or Conviction Reports
1	13,244	8,829	0	1,368	5,866	0	587
2	3,601	2,405	0	8	382	0	56
3	990	535	0	0	6	0	6
4	302	216	0	0	0	0	0
5	108	84	0	0	1	0	1
6	53	39	0	0	0	0	0
7	24	20	0	0	0	0	0
8	25	26	0	0	0	0	0
9	10	8	0	0	0	0	0
10	1	2	0	0	0	0	0
11	3	2	0	0	0	0	0
12	0	0	0	0	0	0	0
13	1	1	0	0	0	0	0
14	0	0	0	0	0	0	0
15	0	0	0	0	0	0	0
16	1	1	0	0	0	0	0
Number of LPNs/Nurses Aides with Reports	18,363	12,168	0	1,376	6,255	0	650
Number of Reports	26,012	17,253	0	1,384	6,653	0	722
Reports per LPNB/Nurses Aide with Reports	1.42	1.42	0.00	1.01	1.06	0.00	1.11

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table G1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Pharmacists and Pharmacy Assistants**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996***		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	34	79.1%	216	89.3%	410	78.7%	648	86.5%	711	84.8%	1,016	87.5%	721	86.1%	3,756	85.5%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Exclusion or Debarment Action	9	20.9%	22	9.1%	94	18.0%	74	9.9%	102	12.2%	126	10.9%	112	13.4%	539	12.3%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Judgment or Conviction	0	0.0%	4	1.7%	17	3.3%	27	3.6%	25	3.0%	19	1.6%	4	0.5%	96	2.2%
Total	43	100.0%	242	100.0%	521	100.0%	749	100.0%	838	100.0%	1,161	100.0%	837	100.0%	4,391	100.0%
Percentage of Cumulative Reports	1.0%		5.5%		11.9%		17.1%		19.1%		26.4%		19.1%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 3,951 (90.0 percent) reports for 2,976 practitioners (89.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

*** Year of action refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized establishment of the HIPDB.

Table G2: Reason for Action Taken Concerning Individuals by Report Type* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Reason for Action	State Licensure Action		Exclusion or Debarment Action		Total	
	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	363	11.5%	0	0.0%	363	10.0%
Fraud	79	2.5%	142	30.2%	221	6.1%
Unprofessional Conduct	485	15.3%	0	0.0%	485	13.3%
Substandard Care/Services	366	11.6%	0	0.0%	366	10.1%
Criminal Convictions	177	5.6%	51	10.9%	228	6.3%
Health-Related Impairments	10	0.3%	0	0.0%	10	0.3%
Practices Directly Affecting Patient Care	49	1.5%	0	0.0%	49	1.3%
Practices Indirectly Affecting Patient Care	401	12.7%	50	10.6%	451	12.4%
Actions Taken by Federal /State/ Local Authority	144	4.6%	221	47.0%	365	10.0%
Other (Not Classified)	1,090	34.5%	6	1.3%	1,096	30.2%
Total***	3,164	100.0%	470	100.0%	3,634	100.0%
Percentage of Total Reports		87.1%		12.9%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 3,951 (90.0 percent) reports for 2,976 practitioners (89.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

*** The total excludes 336 reports for which the reason for action was not required to be included in the submitted report and 421 reports that lack information about reason for action.

Table G3: Type of Action Taken Concerning Individuals by Reason for Action* -- Pharmacist and Pharmacy Assistants**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/Services	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/State/Local Authority	Other (Not Classified)	Total
Adverse Actions											
State Licensure Action											
Revocation of License	46	5	47	1	34	0	2	9	8	46	198
Probation of License	147	17	133	72	37	4	13	46	44	260	773
Suspension of License	91	16	55	35	80	3	10	78	23	122	513
Reprimand or Censure	1	6	129	225	2	0	20	0	36	185	604
Voluntary Surrender of License	59	0	29	2	6	2	1	9	8	22	138
Reprimand, Censure, Voluntary Surrender	0	0	0	0	0	0	0	0	0	0	0
Limitation or Restriction on License/Practice	1	0	2	4	3	1	0	1	4	32	48
Denial of License (Renewal Only)	0	0	0	1	1	0	0	247	0	4	253
Administrative Fine/Monetary Penalty	0	33	65	5	1	0	2	4	6	156	272
License Reinstatement Denied	0	0	0	0	0	0	0	0	0	2	2
Other Licensure Action	15	2	23	21	13	0	1	7	15	255	352
Exclusion or Debarment Action											
Exclusion from Federal Health Care Program	0	0	0	0	1	0	0	0	0	0	1
Exclusion from a State Health Care Program	0	2	0	0	20	0	0	2	79	5	108
Exclusion from Medicare, Medicaid & Other Federal Programs	0	100	0	0	26	0	0	21	132	0	279
Exclusion from Medicare & State Health Care Programs	0	24	0	0	0	0	0	23	5	0	52
Total Adverse Actions	360	205	483	366	224	10	49	447	360	1,089	3,593
Non-Adverse Actions											
State Licensure Action											
Licensure Completely or Partially Restored or Reinstated	3	0	1	0	0	0	0	0	0	4	8
Reduction of Previous Licensure Action	0	0	1	0	0	0	0	0	0	2	3
Exclusion or Debarment Action											
Reinstatement	0	16	0	0	4	0	0	4	5	1	30
Total Non-Adverse Actions	3	16	2	0	4	0	0	4	5	7	41
Total Actions Taken***	363	221	485	366	228	10	49	451	365	1,096	3,634

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 3,951 (90.0 percent) reports for 2,976 practitioners (89.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

*** Total actions taken excludes 336 reports for which the reason for action was not required to be included in the submitted report and 421 reports that lack information on reason for action.

Table G4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Pharmacists and Pharmacy Assistants**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Pharmacists and Pharmacy Assistants	Number of Pharmacists and Pharmacy Assistants with Reports	Number of Reports Per Pharmacist and Pharmacy Assistant with Reports
Alabama	4	4	1.00
Alaska	19	17	1.12
Arizona	11	9	1.22
Arkansas	7	7	1.00
California	217	205	1.06
Colorado	97	89	1.09
Connecticut	52	50	1.04
Delaware	3	3	1.00
Florida	174	162	1.07
Georgia	21	20	1.05
Hawaii	13	12	1.08
Idaho	1	1	1.00
Illinois	531	396	1.34
Indiana	42	26	1.62
Iowa	2	2	1.00
Kansas	0	0	0.00
Kentucky	73	46	1.59
Louisiana	5	4	1.25
Maine	17	15	1.13
Maryland	14	10	1.40
Massachusetts	83	73	1.14
Michigan	653	489	1.34
Minnesota	3	3	1.00
Mississippi	81	61	1.33
Missouri	157	87	1.80
Montana	2	1	2.00
Nebraska	84	47	1.79
Nevada	6	3	2.00
New Hampshire	1	1	1.00
New Jersey	57	31	1.84
New Mexico	24	23	1.04
New York	333	264	1.26
North Carolina	9	8	1.13
North Dakota	2	2	1.00
Ohio	39	38	1.03
Oklahoma	1	1	1.00
Oregon	87	70	1.24
Pennsylvania	487	365	1.33
Rhode Island	6	4	1.50
South Carolina	16	12	1.33
South Dakota	0	0	0.00
Tennessee	11	11	1.00
Texas	154	124	1.24
Utah	67	48	1.40
Vermont	5	5	1.00
Virginia	562	370	1.52
Washington	80	56	1.43
West Virginia	1	1	1.00
Wisconsin	44	41	1.07
Wyoming	31	11	2.82
Washington DC	1	1	1.00
Total***	4,391	3,330	1.32

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 3,951 (90.0 percent) reports for 2,976 practitioners (89.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

*** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table G5: Number of Reports Concerning Individuals with Reports by Report Type and State* -- Pharmacists and Pharmacy Assistants**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	0	4	0	4
Alaska	18	1	0	19
Arizona	4	7	0	11
Arkansas	6	1	0	7
California	190	27	0	217
Colorado	95	2	0	97
Connecticut	49	2	1	52
Delaware	0	3	0	3
Florida	164	7	3	174
Georgia	5	15	1	21
Hawaii	9	0	4	13
Idaho	0	1	0	1
Illinois	501	29	1	531
Indiana	38	4	0	42
Iowa	0	1	1	2
Kansas	0	0	0	0
Kentucky	67	4	2	73
Louisiana	0	3	2	5
Maine	15	2	0	17
Maryland	0	10	4	14
Massachusetts	72	11	0	83
Michigan	620	33	0	653
Minnesota	0	3	0	3
Mississippi	77	4	0	81
Missouri	150	5	2	157
Montana	0	1	1	2
Nebraska	83	0	1	84
Nevada	0	4	2	6
New Hampshire	0	1	0	1
New Jersey	0	49	8	57
New Mexico	23	1	0	24
New York	229	58	46	333
North Carolina	2	7	0	9
North Dakota	2	0	0	2
Ohio	0	39	0	39
Oklahoma	0	1	0	1
Oregon	87	0	0	87
Pennsylvania	374	105	8	487
Rhode Island	0	6	0	6
South Carolina	6	6	4	16
South Dakota	0	0	0	0
Tennessee	0	10	1	11
Texas	106	46	2	154
Utah	64	3	0	67
Vermont	4	1	0	5
Virginia	554	8	0	562
Washington	79	1	0	80
West Virginia	0	1	0	1
Wisconsin	33	9	2	44
Wyoming	30	1	0	31
Washington, DC	0	1	0	1
Total***	3,756	539	96	4,391
Percentage of Total	85.5%	12.3%	2.2%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for pharmacists and pharmacy assistants include: Pharmacists, 3,931 (90.0 percent) reports for 2,376 practitioners (60.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

*** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table G6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Number of Reports	Pharmacists and Pharmacy Assistants with HIPDB Reports	Pharmacists and Pharmacy Assistants with State Licensure Reports	Pharmacists and Pharmacy Assistants with Federal Licensure & DEA Reports	Pharmacists and Pharmacy Assistants with Government Administrative Action Reports	Pharmacists and Pharmacy Assistants with Exclusion or Debarment Reports	Pharmacists and Pharmacy Assistants with Health Plan Action Reports	Pharmacists and Pharmacy Assistants with Judgment or Conviction Reports
1	2,442	2,326	0	0	359	0	80
2	581	459	0	0	59	0	8
3	144	106	0	0	15	0	0
4	50	27	0	0	3	0	0
5	17	8	0	0	1	0	0
6	7	3	0	0	0	0	0
7	4	4	0	0	0	0	0
Number of Pharmacists and Pharmacy Assistants with Reports	3,245	2,933	0	0	437	0	88
Number of Reports	4,391	3,756	0	0	539	0	96
Reports per Pharmacist and Pharmacy Assistant with Reports	1.35	1.28	0.00	0.00	1.23	0.00	1.09

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 3,951 (90.0 percent) reports for 2,976 practitioners (89.4 percent); Nuclear Pharmacists, 6 (0.1 percent) reports for six practitioners (0.2 percent); and Pharmacy Assistants, 434 (9.9 percent) reports for 348 practitioners (10.5 percent).

Table H1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996**		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	11	3.0%	53	29.0%	186	28.2%	182	32.4%	264	51.3%	190	35.3%	140	25.8%	1,026	30.5%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0.0%	0.0%	0	0.0%	0.0%	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.6%	3	0.1%
Exclusion or Debarment Action	355	97.0%	128	69.9%	471	71.4%	364	64.9%	234	45.4%	321	59.6%	357	65.7%	2,230	66.2%
Health Plan Action	0	0.0%	2	1.1%	0	0.0%	14	2.5%	15	2.9%	24	4.5%	43	7.9%	98	2.9%
Judgment or Conviction	0	0.0%	0	0.0%	3	0.5%	1	0.2%	2	0.4%	4	0.7%	0	0.0%	10	0.3%
Total	366	100.0%	183	100.0%	660	100.0%	561	100.0%	515	100.0%	539	100.0%	543	100.0%	3,367	100.0%
Percentage of Cumulative Reports	10.9%		5.4%		19.6%		16.7%		15.3%		16.0%		16.1%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year of action refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized establishment of the HIPDB.

Table H2: Reason for Action Taken Concerning Individuals by Report Type* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	22	2.4%	0	0.0%	0	0.0%	22	0.7%
Fraud	116	12.6%	63	3.0%	7	7.5%	186	6.0%
Unprofessional Conduct	256	27.8%	0	0.0%	2	2.2%	258	8.4%
Substandard Care/Services	41	4.4%	3	0.1%	0	0.0%	44	1.4%
Patient Abuse	10	1.1%	0	0.0%	0	0.0%	10	0.3%
Misappropriation of Patient Property	1	0.1%	0	0.0%	0	0.0%	1	0.0%
Criminal Convictions	96	10.4%	59	2.9%	16	17.2%	174	5.6%
Health-Related Impairments	6	0.7%	0	0.0%	0	0.0%	6	0.2%
Practices Directly Affecting Patient Care	9	1.0%	0	0.0%	0	0.0%	9	0.3%
Practices Indirectly Affecting Patient Care	10	1.1%	1,729	83.5%	12	12.9%	1,751	56.7%
Actions Taken by Fed./State/Local Authority	31	3.4%	211	10.2%	43	46.2%	285	9.2%
Other (Not Classified)	324	35.1%	5	0.2%	13	14.0%	342	11.1%
Total**	922	100.0%	2,070	100.0%	93	100.0%	3,088	100.0%
Percentage of Total Reports		29.9%		67.0%		3.0%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Total actions exclude 41 reports for which the reason for action was not required to be included in the submitted report and 238 reports that lack information about reason for action.

Table H3: Type of Action Taken Concerning Chiropractors by Reason for Action*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/Services	Patient Abuse	Misappropriation of Patient Property	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/Local Authority	Other (Not Classified)	Total
Adverse Actions													
State Licensure Action													
Revocation of License	3	22	32	3	0	0	27	2	0	1	5	50	0
Probation of License	9	29	78	15	3	0	16	2	3	2	9	130	296
Suspension of License	8	22	55	8	1	1	42	2	0	1	9	43	192
Reprimand or Censure	0	20	28	7	0	0	2	0	3	0	4	32	96
Voluntary Surrender of License	1	1	7	1	4	0	3	0	0	0	2	15	34
Reprimand, Censure, Voluntary Surrender	1	0	2	1	0	0	1	0	0	0	0	2	7
Limitation or Restriction on License/Practice	0	0	0	0	0	0	0	0	0	2	0	0	2
Denial of License (Renewal Only)	0	10	8	2	0	0	1	0	1	0	1	13	36
Administrative Fine/Monetary Penalty	0	0	0	0	0	0	0	0	0	0	0	4	4
License Reinstatement Denied	0	0	0	0	0	0	0	0	0	0	0	1	1
Other Licensure Action	0	10	45	3	1	0	2	0	2	4	1	15	83
Government Administrative Action													
Other Action (Not Classified)	0	0	0	0	0	0	3	0	0	0	0	0	3
Exclusion or Debarment Action													
Exclusion from Federal Health Care Program	0	0	0	0	0	0	33	0	0	330	18	1	382
Exclusion from a State Health Care Program	0	2	0	3	0	0	6	0	0	9	91	4	115
Exclusion from Medicare, Medicaid & Other Federal Programs	0	44	0	0	0	0	19	0	0	806	96	0	965
Exclusion from Medicare & State Health Care Programs	0	13	0	0	0	0	0	0	0	331	6	0	350
Health Plan Action													
Contract Termination	0	7	2	0	0	0	16	0	0	12	42	13	92
Suspension of Contract	0	0	0	0	0	0	0	0	0	0	1	0	1
Total Adverse Actions	22	180	257	43	9	1	171	6	9	1,498	285	323	2,804
Non-Adverse Actions													
State Licensure Action													
Licensure Completely or Partially Restored or Reinstated	0	2	1	1	0	0	2	0	0	0	0	19	25
Reduction of Previous Licensure Action	0	0	0	0	1	0	0	0	0	0	0	0	1
Exclusion or Debarment Action													
Reinstatement	0	4	0	0	0	0	1	0	0	253	0	0	258
Total Non-Adverse Actions	0	6	1	1	1	0	3	0	0	253	0	19	284
Total Actions Taken**	22	186	258	44	10	1	174	6	9	1,751	285	342	3,088

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

**Total actions excludes 41 reports for which the reason for action was not required to be included in the submitted report and 238 reports that lack information about reason for action.

Table H4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Chiropractors with Reports	Number of Chiropractors with Reports	Number of Reports Per Chiropractor with Reports
Alabama	27	22	1.23
Alaska	8	6	1.33
Arizona	128	103	1.24
Arkansas	14	12	1.17
California	627	537	1.17
Colorado	161	128	1.26
Connecticut	27	18	1.50
Delaware	1	1	1.00
Florida	157	138	1.14
Georgia	106	95	1.12
Hawaii	9	8	1.13
Idaho	20	16	1.25
Illinois	128	91	1.41
Indiana	12	10	1.20
Iowa	39	32	1.22
Kansas	56	42	1.33
Kentucky	23	17	1.35
Louisiana	24	16	1.50
Maine	9	9	1.00
Maryland	13	12	1.08
Massachusetts	77	58	1.33
Michigan	261	170	1.54
Minnesota	86	56	1.54
Mississippi	30	22	1.36
Missouri	85	70	1.21
Montana	6	4	1.50
Nebraska	14	11	1.27
Nevada	26	18	1.44
New Hampshire	8	6	1.33
New Jersey	102	65	1.57
New Mexico	7	5	1.40
New York	142	121	1.17
North Carolina	26	22	1.18
North Dakota	9	5	1.80
Ohio	56	40	1.40
Oklahoma	24	20	1.20
Oregon	85	59	1.44
Pennsylvania	173	107	1.62
Rhode Island	5	3	1.67
South Carolina	20	14	1.43
South Dakota	4	3	1.33
Tennessee	52	32	1.63
Texas	253	161	1.57
Utah	25	18	1.39
Vermont	5	3	1.67
Virginia	65	46	1.41
Washington	70	52	1.35
West Virginia	6	5	1.20
Wisconsin	48	37	1.30
Wyoming	0	0	0.00
Washington, DC	0	0	0.00
Total**	3,367	2,553	1.32

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas. The total includes 7 reports for 6 chiropractors that lack information about State.

Table H5: Number of Reports Concerning Individuals by Report Type and State* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	12	0	15	0	0	27
Alaska	4	0	4	0	0	8
Arizona	68	0	59	1	0	128
Arkansas	1	0	13	0	0	14
California	118	0	482	27	0	627
Colorado	107	0	49	5	0	161
Connecticut	8	0	19	0	0	27
Delaware	0	0	0	1	0	1
Florida	23	0	133	1	0	157
Georgia	0	0	106	0	0	106
Hawaii	3	0	6	0	0	9
Idaho	2	0	18	0	0	20
Illinois	64	0	64	0	0	128
Indiana	0	0	12	0	0	12
Iowa	20	0	19	0	0	39
Kansas	14	0	42	0	0	56
Kentucky	12	0	11	0	0	23
Louisiana	10	0	12	0	0	24
Maine	2	0	7	0	0	9
Maryland	0	0	12	1	0	13
Massachusetts	31	0	42	4	0	77
Michigan	170	0	68	22	1	261
Minnesota	35	0	45	6	0	86
Mississippi	18	0	12	0	0	30
Missouri	26	0	54	3	2	85
Montana	0	0	6	0	0	6
Nebraska	5	0	9	0	0	14
Nevada	10	0	15	1	0	26
New Hampshire	2	0	6	0	0	8
New Jersey	15	0	86	0	1	102
New Mexico	0	0	7	0	0	7
New York	53	0	87	2	0	142
North Carolina	2	0	24	0	0	26
North Dakota	0	0	9	0	0	9
Ohio	0	0	52	4	0	56
Oklahoma	0	0	24	0	0	24
Oregon	29	0	56	0	0	85
Pennsylvania	60	0	109	3	1	173
Rhode Island	0	0	5	0	0	5
South Carolina	5	0	14	0	1	20
South Dakota	2	0	2	0	0	4
Tennessee	18	0	27	7	0	52
Texas	14	3	233	3	0	253
Utah	3	0	21	1	0	25
Vermont	0	0	5	0	0	5
Virginia	39	0	21	3	2	65
Washington	0	0	67	3	0	70
West Virginia	1	0	5	0	0	6
Wisconsin	20	0	28	0	0	48
Wyoming	0	0	0	0	0	0
Washington, DC	0	0	0	0	0	0
Total**	1,026	3	2,230	98	10	3,367
Percentage of Total	30.5%	0.1%	66.2%	2.9%	0.3%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas. The total includes 7 reports for 6 chiropractors that lack information about State.

Table H6: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Number of Reports*	One or More Chiropractors with HIPDB Reports	One or More Chiropractors with State Licensure Reports	One or More Chiropractors with Federal Licensure & DEA Reports	One or More Chiropractors with Government Administrative Action Reports	One or More Chiropractors with Exclusion or Debarment Reports	One or More Chiropractors with Health Plan Action Reports	One or More Chiropractors with Judgments or Conviction Reports
1	1,787	718	0	3	1,316	82	38
2	614	132	0	0	426	7	0
3	114	27	0	0	29	2	0
4	20	7	0	0	7	0	0
5	13	3	0	0	0	0	0
6	1	0	0	0	1	0	0
7	2	0	0	0	0	0	0
8	2	0	0	0	0	0	0
Number of Chiropractors with Reports	2,553	887	0	3	1,779	91	38
Number of Reports	3,538	1,106	0	3	2,289	102	38
Reports per Chiropractor with Reports	1.39	1.25	0.00	1.00	1.29	1.12	1.00

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

TABLE I1: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Career Field* -- Non-Healthcare Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Career Field**	Number of Reports for Non-Healthcare Practitioners	Number of Non-Healthcare Practitioners with Reports	Reports per Non-Healthcare Practitioner with Reports
Business Owner	1,227	966	1.27
Business Manager	217	170	1.28
Corporate Officer	95	60	1.58
Researcher, Clinical	95	90	1.06
Salesperson	94	69	1.36
Bookkeeper	24	12	2.00
Insurance Agent	8	8	1.00
Insurance Broker	4	4	1.00
Accountant	3	3	1.00
Other Non-Practitioner Occupation (Not Classified)	3,217	2,639	1.22
Total	4,984	4,021	1.24

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** To avoid double-counting of practitioners who were reported under more than one career field, only one license field was selected for each practitioner.

Table I2: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Non-Healthcare Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996**		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	33	82.5%	23	9.4%	32	3.1%	47	5.2%	56	6.0%	62	5.6%	39	5.5%	292	5.9%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.0%
Exclusion or Debarment Action	7	17.5%	107	43.9%	536	51.1%	409	45.4%	376	40.3%	645	58.4%	644	90.2%	2,724	54.7%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Judgment or Conviction	0	0.0%	114	46.7%	480	45.8%	444	49.3%	501	53.7%	398	36.0%	30	4.2%	1,967	39.5%
Total	40	100.0%	244	100.0%	1,048	100.0%	900	100.0%	933	100.0%	1,105	100.0%	714	100.0%	4,984	100.0%
Percentage of Cumulative Reports	0.8%		4.9%		21.0%		18.1%		18.7%		22.2%		14.3%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized establishment of the HIPDB.

Table I3: Reason for Action Taken Concerning Individuals by Report Type* -- Non-Healthcare Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	16	5.6%	0	0.0%	0	0.0%	16	0.5%
Fraud	15	5.2%	1	100.0%	1,816	68.3%	1,832	62.1%
Unprofessional Conduct	34	11.8%	0	0.0%	0	0.0%	34	1.2%
Substandard Care/Services	29	10.1%	0	0.0%	1	0.0%	30	1.0%
Patient Abuse	63	21.9%	0	0.0%	0	0.0%	63	2.1%
Misappropriation of Patient Property	5	1.7%	0	0.0%	0	0.0%	5	0.2%
Criminal Convictions	18	6.3%	0	0.0%	221	8.3%	239	8.1%
Health-Related Impairments	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Practices Directly Affecting Patient Care	9	3.1%	0	0.0%	0	0.0%	9	0.3%
Practices Indirectly Affecting Patient Care	7	2.4%	0	0.0%	32	1.2%	39	1.3%
Actions Taken by Federal/State/Local Authority	57	19.8%	0	0.0%	567	21.3%	624	21.2%
Other (Not Classified)	35	12.2%	0	0.0%	22	0.8%	57	1.9%
Total**	288	100.0%	1	100.0%	2,659	100.0%	2,948	100.0%
Percentage of Total Reports		9.8%		0.0%		90.2%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 2,036 reports that lack information about the reason for action.

Table I4: Type of Action Taken Concerning Individuals by Reason for Action* -- Non-Healthcare Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/Services	Patient Abuse	Misappropriation of Patient Property	Criminal Convictions	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/Local Authority	Other (Not Classified)	Total
Adverse Actions												
State Licensure Action												
Revocation of License	6	5	8	1	2	1	5	2	1	55	4	90
Probation of License	8	2	4	3	0	0	4	4	1	0	12	38
Suspension of License	1	2	9	3	0	0	1	0	1	1	5	23
Reprimand or Censure	0	0	2	6	0	0	0	2	0	0	4	14
Voluntary Surrender of License	1	6	4	1	0	0	1	1	0	1	4	19
Limitation or Restriction on License/ Practice	0	0	3	0	0	0	0	0	0	0	1	4
Denial of License (Renewal Only)	0	0	1	0	0	0	0	0	3	0	0	4
Administrative Fine/Monetary Penalty	0	0	2	0	0	0	0	0	0	0	1	3
License Reinstatement Denied	0	0	1	15	61	4	7	0	1	0	4	93
Government Administrative Action												
Administrative Fine/Monetary Penalty	0	1	0	0	0	0	0	0	0	0	0	1
Exclusion or Debarment Action												
Exclusion from Federal Health Care Program	0	1	0	0	0	0	2	0	0	11	0	14
Exclusion from a State Health Care Program	0	3	0	0	0	0	47	0	4	115	14	183
Exclusion from Medicare, Medicaid & Other Federal Programs	0	1,512	0	1	0	0	123	0	15	424	7	2,082
Exclusion from Medicare & State Health Care Programs	0	300	0	0	0	0	49	0	13	17	1	380
Total Actions Taken**	16	1,832	34	30	63	5	239	9	39	624	57	2,948

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total actions taken excludes 2,036 reports that lack information about the reason for action and/or detailed type of action.

Table I5: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Non-Healthcare Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for Non-Healthcare Practitioners	Number of Non-Healthcare Practitioners with Reports	Number of Reports Per Non-Healthcare Practitioner with Reports
Alabama	38	33	1.15
Alaska	7	6	1.17
Arizona	48	45	1.07
Arkansas	108	82	1.32
California	477	422	1.13
Colorado	65	44	1.48
Connecticut	65	60	1.08
Delaware	18	17	1.06
Florida	1,009	706	1.43
Georgia	148	135	1.10
Hawaii	6	6	1.00
Idaho	9	9	1.00
Illinois	268	253	1.06
Indiana	26	23	1.13
Iowa	16	14	1.14
Kansas	36	34	1.06
Kentucky	64	58	1.10
Louisiana	107	89	1.20
Maine	29	25	1.16
Maryland	79	66	1.20
Massachusetts	55	48	1.15
Michigan	104	90	1.16
Minnesota	36	32	1.13
Mississippi	156	116	1.34
Missouri	57	49	1.16
Montana	6	5	1.20
Nebraska	42	33	1.27
Nevada	26	25	1.04
New Hampshire	12	10	1.20
New Jersey	177	126	1.40
New Mexico	15	15	1.00
New York	437	352	1.24
North Carolina	28	24	1.17
North Dakota	3	3	1.00
Ohio	89	81	1.10
Oklahoma	31	24	1.29
Oregon	28	27	1.04
Pennsylvania	233	177	1.32
Rhode Island	25	20	1.25
South Carolina	53	43	1.23
South Dakota	7	6	1.17
Tennessee	131	105	1.25
Texas	310	230	1.35
Utah	12	12	1.00
Vermont	6	4	1.50
Virginia	82	75	1.09
Washington	57	49	1.16
West Virginia	26	24	1.08
Wisconsin	66	44	1.50
Wyoming	1	1	1.00
Washington, DC	11	11	1.00
Total**	4,984	4,021	1.24

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas. One report for one practitioner lacks information on State.

Table I6: Number of Reports Concerning Individuals by Report Type and State* -- Non-Healthcare Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	0	0	28	10	38
Alaska	7	0	0	0	7
Arizona	0	0	41	7	48
Arkansas	0	0	55	53	108
California	5	0	344	128	477
Colorado	1	0	29	35	65
Connecticut	13	0	29	23	65
Delaware	0	0	11	7	18
Florida	20	0	459	530	1,009
Georgia	0	0	121	27	148
Hawaii	0	0	4	2	6
Idaho	0	0	4	5	9
Illinois	10	0	203	55	268
Indiana	2	0	17	7	26
Iowa	2	0	7	7	16
Kansas	1	0	14	21	36
Kentucky	0	0	44	20	64
Louisiana	1	0	65	41	107
Maine	0	0	23	6	29
Maryland	5	0	34	40	79
Massachusetts	0	0	41	14	55
Michigan	0	0	63	41	104
Minnesota	0	0	15	21	36
Mississippi	81	0	42	33	156
Missouri	0	0	24	33	57
Montana	0	0	3	3	6
Nebraska	35	0	6	1	42
Nevada	1	0	11	14	26
New Hampshire	0	0	9	3	12
New Jersey	0	0	124	53	177
New Mexico	0	0	13	2	15
New York	1	0	175	261	437
North Carolina	0	0	23	5	28
North Dakota	0	0	1	2	3
Ohio	2	0	47	40	89
Oklahoma	0	0	24	7	31
Oregon	0	0	26	2	28
Pennsylvania	4	0	140	89	233
Rhode Island	0	0	16	9	25
South Carolina	0	0	30	23	53
South Dakota	0	0	5	2	7
Tennessee	23	0	55	53	131
Texas	56	0	152	102	310
Utah	0	1	9	2	12
Vermont	0	0	4	2	6
Virginia	0	0	53	29	82
Washington	22	0	19	16	57
West Virginia	0	0	14	12	26
Wisconsin	0	0	34	32	66
Wyoming	0	0	1	0	1
Washington, DC	0	0	3	8	11
Total**	292	1	2,724	1,967	4,984
Percentage of Total	5.9%	0.0%	54.7%	39.5%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes reports for U.S. territories and Armed Forces locations overseas. One report for one practitioner lacks information about State.

Table 17: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Non-Healthcare Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Number of Reports	Non-Healthcare Practitioners with One or More HIPDB Reports	Non-Healthcare Practitioners with One or More State Licensure Reports	Non-Healthcare Practitioners with One or More Federal Licensure & DEA Reports	Non-Healthcare Practitioners with One or More Government Administrative Action Reports	Non-Healthcare Practitioners with One or More Exclusion or Debarment Reports	Non-Healthcare Practitioners with One or More Health Plan Action Reports	Non-Healthcare Practitioners with One or More Judgments or Conviction Reports
1	3,203	253	0	1	2,431	0	1,726
2	690	15	0	0	122	0	114
3	116	3	0	0	15	0	3
4	9	0	0	0	1	0	1
5	1	0	0	0	0	0	0
6	2	0	0	0	0	0	0
Number of Non-Healthcare Practitioners with Reports	4,021	271	0	1	2,569	0	1,844
Number of Reports	4,984	292	0	1	2,724	0	1,967
Reports per Non-Healthcare Practitioner with Reports	1.24	1.08	0.00	1.00	1.06	0.00	1.07

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

TABLE J1: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by Career Field* - "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Career Field	Number of Reports for "Other Professionals"	Number of "Other Professionals" with Reports**	Reports per "Other Professional" with Reports
Psychology-related Practitioners	1,120	816	1.37
Podiatrists and Podiatric-related Practitioners	952	633	1.50
Respiratory Therapists and Related Practitioners	757	684	1.11
Optical-related Practitioners	666	483	1.38
Counselors	659	516	1.28
Physical Therapists and Related Practitioners	645	526	1.23
Facility Administrators	633	564	1.12
Social Workers	549	478	1.15
Physician Assistants and Medical Assistants	542	371	1.46
Occupational Therapists and Related Practitioners	353	314	1.12
Dental Assistants, Technicians, Hygienists	318	277	1.15
Emergency Medical Practitioners	238	229	1.04
Technologists	173	155	1.12
Speech and Language-Related Practitioners	55	46	1.20
Acupuncturists	50	46	1.09
Audiologists	49	44	1.11
Dieticians	18	18	1.00
Homeopaths and Naturopaths	14	8	1.75
Prosthetists	6	6	1.00
Psychiatric Technicians and Aides	4	4	1.00
Nutritionists	4	3	1.33
Denturists	4	4	1.00
Unspecified or Unknown	367	308	1.19
Total	8,176	6,533	1.25

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** To avoid double-counting of practitioners who were reported under more than one career field, only one license field was selected for each practitioner.

Table J2: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Report Type	Prior to August 21, 1996**		August 21 - December 31, 1996		1997		1998		1999		2000		2001		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	346	56.0%	228	71.0%	769	70.7%	956	76.0%	1,206	79.7%	1,461	80.2%	1,278	82.2%	6,244	76.4%
Federal Licensure & DEA	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.1%	0	0.0%	0	0.0%	2	0.0%
Government Administrative Action	1	0.2%	0	0.0%	6	0.6%	3	0.2%	5	0.3%	3	0.2%	1	0.1%	19	0.2%
Exclusion or DebarmentAction	271	43.9%	85	26.5%	279	25.6%	241	19.2%	213	14.1%	268	14.7%	244	15.7%	1,601	19.6%
Health Plan Action	0	0.0%	0	0.0%	2	0.2%	7	0.6%	7	0.5%	15	0.8%	27	1.7%	58	0.7%
Judgment or Conviction	0	0.0%	8	2.5%	32	2.9%	51	4.1%	81	5.4%	75	4.1%	5	0.3%	252	3.1%
Total	618	100.0%	321	100.0%	1,088	100.0%	1,258	100.0%	1,514	100.0%	1,822	100.0%	1,555	100.0%	8,176	100.0%
Percentage of Cumulative Reports		7.6%		3.9%		13.3%		15.4%		18.5%		22.3%		19.0%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. August 21, 1996 was the date of enactment of the HIPAA, which authorized establishment of the HIPDB.

Table J3: Reason for Action Taken Concerning Individuals by Report Type* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Reason for Action	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Substance Abuse	330	5.6%	0	0.0%	2	10.5%	0	0.0%	1	1.8%	333	4.5%
Fraud	382	6.5%	0	0.0%	3	15.8%	308	20.6%	7	12.7%	700	9.4%
Unprofessional Conduct	1,265	21.4%	0	0.0%	10	52.6%	0	0.0%	3	5.5%	1,278	17.1%
Substandard Care/Services	410	6.9%	0	0.0%	1	5.3%	1	0.1%	1	1.8%	413	5.5%
Patient Abuse	6	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	6	0.1%
Misappropriation of Patient Property	8	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	8	0.1%
Criminal Convictions	537	9.1%	0	0.0%	0	0.0%	214	14.3%	7	12.7%	758	10.1%
Health-Related Impairments	22	0.4%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	22	0.3%
Practices Directly Affecting Patient Care	190	3.2%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	190	2.5%
Practices Indirectly Affecting Patient Care	148	2.5%	2	100.0%	0	0.0%	459	30.7%	13	23.6%	622	8.3%
Actions Taken by Federal/State/Local Authority	425	7.2%	0	0.0%	3	15.8%	501	33.6%	16	29.1%	945	12.6%
Other (Not Classified)	1,812	30.7%	0	0.0%	0	0.0%	10	0.7%	7	12.7%	1,829	24.5%
Total**	5,906	100.0%	2	100.0%	19	100.0%	1,493	100.0%	55	100.0%	7,475	100.0%
Percentage of Total Reports	79.0%		0.0%		0.3%		20.0%		0.7%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total excludes 701 reports that lack information about the reason for action.

Table J4: Type of Action Taken Concerning Individuals by Reason for Action* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Type of Action	Substance Abuse	Fraud	Unprofessional Conduct	Substandard Care/ Services	Patient Abuse	Misappropriation of Patient Property	Criminal Convictions	Health-Related Impairments	Practices Directly Affecting Patient Care	Practices Indirectly Affecting Patient Care	Actions Taken by Federal/ State/Local Authority	Other (Not Classified)	Total
Adverse Actions													
State Licensure Action													
Revocation of License	43	72	242	64	1	1	143	3	7	28	55	230	889
Probation of License	141	59	300	129	1	3	208	6	59	25	39	352	1,322
Suspension of License	80	46	241	67	1	2	83	4	35	20	70	233	882
Reprimand or Censure	5	36	169	56	1	0	17	0	45	7	194	260	790
Voluntary Surrender of License	38	26	112	45	1	2	55	4	6	13	31	150	483
Limitation or Restriction on License/Practice	7	3	57	9	0	0	2	1	1	4	11	7	102
Denial of License	0	5	3	1	0	0	3	0	1	48	4	14	79
Administrative Fine/Monetary Penalty	0	113	37	18	0	0	2	0	28	0	9	282	489
License Reinstatement Denied	0	0	0	0	0	0	2	0	0	0	0	0	2
Extension of Previous Licensure Action	0	0	0	0	0	0	0	0	0	0	0	1	1
Other Licensure Action	16	22	104	21	1	0	22	4	8	5	12	278	493
Exclusion or Debarment Action													
Exclusion from Federal Health Care Program	0	7	0	0	0	0	142	0	0	52	75	0	276
Exclusion from a State Health Care Program	0	15	0	1	0	0	27	0	0	1	112	10	166
Exclusion from Medicare, Medicaid, Other Federal Programs	0	187	0	0	0	0	39	0	0	237	286	0	749
Exclusion from Medicare & State Health Care Programs	0	71	0	0	0	0	4	0	0	85	26	0	186
Government Administrative Action													
Termination from Medicaid/Other State Program	0	1	0	0	0	0	0	0	0	0	0	0	1
Voluntary Surrender while Under Investigation	0	1	0	0	0	0	0	0	0	0	0	0	1
Administrative Fine/Monetary Penalty	0	1	0	0	0	0	0	0	0	0	0	0	1
Personnel Action-- Not Classified	1	0	0	0	0	0	0	0	0	0	0	0	1
Other Action (Not Classified)	1	0	10	1	0	0	0	0	0	0	3	0	15
Other Restriction of Clinical Privileges/Panel Membership	0	0	0	0	0	0	0	0	0	0	0	1	1
Health Plan Actions													
Contract Termination	1	6	2	1	0	0	7	0	0	13	14	5	49
Suspension of Contract	0	0	0	0	0	0	0	0	0	0	1	0	1
Employment Termination	0	1	0	0	0	0	0	0	0	0	0	0	1
Denial of Contract Application or Renewal	0	0	1	0	0	0	0	0	0	0	1	0	2
Other Health Plan Action	0	0	0	0	0	0	0	0	0	0	0	1	1
Total Adverse Actions	333	672	1,278	413	6	8	756	22	190	538	943	1,824	6,983
Non-Adverse Actions													
State Licensure Action*													
License Completely or Partially Restored or Reinstated	0	0	0	0	0	0	0	0	0	0	0	2	2
Reduction of Previous Action	0	0	0	0	0	0	0	0	0	0	0	3	3
Exclusion or Debarment Action													
Reinstatement	0	28	0	0	0	0	2	0	0	84	2	0	116
Total Non-Adverse Actions	0	28	0	0	0	0	2	0	0	84	2	5	121
Total Actions Taken**	333	700	1,278	413	6	8	758	22	190	622	945	1,829	7,104

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** An additional 371 reports were not required to include the reason for action in the submitted report and 701 reports lack information about the reason for action.

Table J5: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Number of Reports for "Other Professionals"	Number of "Other Professionals" with Reports	Number of Reports per "Other Professional" with Reports
Alabama	57	49	1.16
Alaska	55	46	1.20
Arizona	167	145	1.15
Arkansas	31	28	1.11
California	900	743	1.21
Colorado	240	212	1.13
Connecticut	168	122	1.38
Delaware	7	6	1.17
Florida	495	441	1.12
Georgia	91	84	1.08
Hawaii	27	25	1.08
Idaho	6	5	1.20
Illinois	305	233	1.31
Indiana	78	56	1.39
Iowa	88	80	1.10
Kansas	40	35	1.14
Kentucky	47	44	1.07
Louisiana	26	19	1.37
Maine	59	57	1.04
Maryland	213	180	1.18
Massachusetts	129	106	1.22
Michigan	545	377	1.45
Minnesota	114	80	1.43
Mississippi	68	60	1.13
Missouri	151	107	1.41
Montana	22	15	1.47
Nebraska	59	47	1.26
Nevada	72	66	1.09
New Hampshire	13	12	1.08
New Jersey	369	253	1.46
New Mexico	17	16	1.06
New York	527	446	1.18
North Carolina	72	65	1.11
North Dakota	10	9	1.11
Ohio	246	227	1.08
Oklahoma	80	65	1.23
Oregon	62	54	1.15
Pennsylvania	429	325	1.32
Rhode Island	9	8	1.13
South Carolina	163	123	1.33
South Dakota	20	16	1.25
Tennessee	379	333	1.14
Texas	634	554	1.14
Utah	245	179	1.37
Vermont	21	19	1.11
Virginia	228	168	1.36
Washington	287	238	1.21
West Virginia	19	16	1.19
Wisconsin	61	59	1.03
Wyoming	5	5	1.00
Washington, DC	8	5	1.60
Total**	8,176	6,675	1.22

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes records for U.S. territories and Armed Forces locations overseas. One report lacks information for the State.

Table J6: Number of Reports Concerning Individuals by Report Type and State* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	47	0	0	10	0	0	57
Alaska	50	0	0	4	0	1	55
Arizona	129	0	10	27	1	0	167
Arkansas	21	0	1	4	0	5	31
California	609	1	0	285	4	1	900
Colorado	225	0	0	14	0	1	240
Connecticut	144	0	0	24	0	0	168
Delaware	5	0	0	2	0	0	7
Florida	417	0	0	60	1	17	495
Georgia	64	0	0	26	0	1	91
Hawaii	23	0	0	4	0	0	27
Idaho	4	0	0	1	1	0	6
Illinois	245	0	0	59	0	1	305
Indiana	65	0	0	13	0	0	78
Iowa	71	0	1	16	0	0	88
Kansas	27	0	0	8	0	5	40
Kentucky	38	0	0	9	0	0	47
Louisiana	8	0	0	12	2	4	26
Maine	46	0	0	13	0	0	59
Maryland	178	0	0	25	3	7	213
Massachusetts	95	0	0	31	2	1	129
Michigan	462	0	1	75	7	0	545
Minnesota	72	0	0	21	10	11	114
Mississippi	53	0	0	9	0	6	68
Missouri	118	0	1	15	2	15	151
Montana	16	0	0	3	0	3	22
Nebraska	57	0	0	1	1	0	59
Nevada	64	0	0	7	0	1	72
New Hampshire	9	0	0	4	0	0	13
New Jersey	256	0	0	112	1	0	369
New Mexico	8	0	0	8	0	1	17
New York	260	0	0	231	2	34	527
North Carolina	51	0	0	21	0	0	72
North Dakota	10	0	0	0	0	0	10
Ohio	190	0	0	55	1	0	246
Oklahoma	69	0	0	11	0	0	80
Oregon	57	0	0	5	0	0	62
Pennsylvania	288	0	1	131	1	8	429
Rhode Island	5	0	0	1	0	3	9
South Carolina	55	0	0	9	0	99	163
South Dakota	15	0	0	3	1	1	20
Tennessee	353	0	0	18	3	5	379
Texas	540	0	1	85	0	8	634
Utah	233	0	0	11	0	1	245
Vermont	9	0	1	8	0	3	21
Virginia	191	0	0	29	7	1	228
Washington	233	1	2	41	6	4	287
West Virginia	9	0	0	8	1	1	19
Wisconsin	45	0	0	13	1	2	61
Wyoming	5	0	0	0	0	0	5
Washington DC	0	0	0	8	0	0	8
Total**	6,244	2	19	1,601	58	252	8,176
Percentage of Total	76.4%	0.0%	0.2%	19.6%	0.7%	3.1%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes records for U.S. territories and Armed Forces locations overseas. One report lacks information for the State.

Table J7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- "Other Professionals"
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Number of Reports	"Other Professionals" with One or More HIPDB Reports	"Other Professionals" with One or More State Licensure Reports	"Other Professionals: with One or More Federal Licensure & DEA Reports	"Other Professionals" with One or More Government Administrative Action Reports	"Other Professionals" with One or More Exclusion or Debarment Reports	"Other Professionals" with One or More Health Plan Action Reports	"Other Professionals" with One or More Judgment or Conviction Reports
1	5,279	4,540	2	19	1,078	52	181
2	975	633	0	0	200	3	31
3	209	102	0	0	33	0	1
4	44	13	0	0	0	0	0
5	16	11	0	0	0	0	0
6	6	3	0	0	0	0	1
7	4	1	0	0	0	0	0
Number of "Other Professionals" with Reports	6,533	5,303	2	19	1,317	55	214
Number of Reports	8,176	6,244	2	19	1,601	58	252
Reports per "Other Professional" with Reports	1.25	1.18	1.00	1.00	1.22	1.05	1.18

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

Table K1: Active Entities Which Have Reported at Least Once to the HIPDB*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Entity Type	Currently Active HIPDB Reporters as of December 31, 2001		HIPDB Reporters Which Have Ever Reported	
	Number of Active Reporting Entities	Number of HIPDB Reports Submitted	Number of Reporting Entities	Number of HIPDB Reports Submitted
Licensing Agencies	517	82,743	1,332	82,991
Hospitals	816	12	8,770	21
Other Health Care Entities and Services	917	5	7,412	6
Health Plans and Insurance Companies	1,579	1,095	3,278	1,095
Government Health Care Program Administration	204	5,209	856	5,209
Peer Review, Accreditation, Survey & Certification Agencies	23	1,723	91	1,723
Professional Societies	9	0	1,088	0
Law Enforcement Agencies	183	1,269	454	1,269
DHHS Exclusions	1	30,096	1	30,096
DEA	1	154	1	154
Total	4,250	122,306	23,283	122,564

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

**Table K2: Number of Querying Entities, Queries, and Percent of Queries by Type of Querying Entity
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Type of Querying Entity	Cumulative		
	Number of Querying Entities	Number of Queries	Percent of Queries
Licensing Agencies	86	18,831	1.0
Hospitals*	708	190,295	9.6
Other Health Care Entities and Services	638	139,890	7.1
Health Plans and Insurance Companies	1,081	1,612,287	81.4
Government Health Care Program Administration	65	11,023	0.6
Peer Review, Accreditation, Survey & Certification Agencies	2	4,641	0.2
Professional Societies	6	2,294	0.1
Law Enforcement Agencies	42	2,188	0.1
Authorized Agents	1	22	0.0
Total*	2,629	1,981,471	100.0

* Hospitals querying the HIPDB included Federal Hospitals and State Hospitals. Non-Government Hospitals are not allowed to query by law unless they meet the definition of a health plan.

**Table K3: Number and Percent of HIPDB Entity and Self Queries Matched with Reports in the HIPDB*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)**

Query Type	Cumulative Number of Queries*
ENTITY QUERIES	
Total Entity Queries	1,981,471
Matched Queries	247,511
Percent Matched	12.5%
SELF QUERIES	
Total Self Queries	72,436
Matched Self Queries	6,318
Self-Queries Percent Matched	8.7%
TOTAL QUERIES (ENTITY AND SELF)	2,053,907
TOTAL MATCHED (ENTITY AND SELF)	253,829
TOTAL PERCENT MATCHED (ENTITY AND SELF)	12.4%

*Cumulatively, all entity queries were made in 2000 and 2001. Self queries were allowed beginning in 1999.

TABLE L1: Number of Requests for Secretarial Review and Percent of Resolved Requests by Report and Outcome Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

Outcome Type	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Actions		Judgment or Conviction		Total Reports	
	Number of Requests	Percent of Resolved Requests	Number of Requests	Percent of Resolved Requests	Number of Reports	Percent of Resolved Reports	Number of Reports	Percent of Resolved Reports	Number of Requests	Percent of Resolved Requests	Number of Requests	Percent of Resolved Requests
Resolved Requests												
Request Closed: Practitioner did not Pursue Review	3	4.5%	1	11.1%	0	0.0%	0	0.0%	0	0.0%	4	4.4%
Request Outside Scope of Review (No change in report)	29	43.3%	2	22.2%	7	87.5%	0	0.0%	0	0.0%	38	42.2%
Secretary Maintains Report as Submitted	17	25.4%	2	22.2%	1	12.5%	4	100.0%	1	50.0%	25	27.8%
Secretary Voids Report	4	6.0%	1	11.1%	0	0.0%	0	0.0%	0	0.0%	5	5.6%
Request Closed by Intervening Action	12	17.9%	3	33.3%	0	0.0%	0	0.0%	1	50.0%	16	17.8%
Secretary Changes Report	2	3.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	2.2%
Total Resolved Requests	67	100.0%	9	100.0%	8	100.0%	4	100.0%	2	100.0%	90	100.0%
Total Unresolved Requests	46		3		1		3		6		59	
Total	113		12		9		7		10		149	

* This table includes only HIPDB Secretarial Review requests resolved or pending as of December 31, 2001.

Table M1: Comparison of the Number and Percent of Reports by Practitioner Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Physicians		Dentists		RNs		LPNs/Nurses Aides		Table Continued on Following Page
	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	
Alabama	334	11.8%	67	2.4%	1,077	37.9%	1,266	44.6%	
Alaska	85	22.3%	23	6.0%	67	17.6%	86	22.6%	
Arizona	657	18.5%	319	9.0%	1,627	45.9%	527	14.9%	
Arkansas	166	7.3%	22	1.0%	718	31.4%	1,257	55.1%	
California	2,978	34.3%	530	6.1%	843	93.7%	2,121	24.4%	
Colorado	456	20.0%	264	11.6%	517	22.6%	483	21.2%	
Connecticut	297	17.5%	129	7.6%	433	25.6%	522	30.8%	
Delaware	23	10.5%	3	1.4%	70	31.8%	95	43.2%	
Florida	1,141	31.7%	354	9.8%	66	1.8%	204	5.7%	
Georgia	623	24.5%	111	4.4%	1,218	47.8%	229	9.0%	
Hawaii	59	38.1%	12	7.7%	23	14.8%	6	3.9%	
Idaho	73	31.5%	14	6.0%	30	12.9%	72	21.0%	
Illinois	745	21.1%	286	8.1%	713	20.2%	809	22.9%	
Indiana	133	13.1%	37	3.6%	114	11.2%	331	32.6%	
Iowa	329	28.3%	83	7.1%	274	23.6%	322	27.7%	
Kansas	181	26.5%	39	5.7%	197	26.8%	135	19.7%	
Kentucky	436	15.7%	64	2.3%	1,211	43.7%	851	30.7%	
Louisiana	275	9.4%	92	3.2%	1,643	56.4%	741	25.4%	
Maine	120	25.1%	29	6.1%	102	21.3%	88	18.4%	
Maryland	590	42.2%	174	12.4%	168	12.0%	147	10.5%	
Massachusetts	459	27.6%	181	10.9%	323	19.4%	383	23.0%	
Michigan	1,156	22.9%	401	6.0%	1,318	26.2%	602	11.9%	
Minnesota	297	15.4%	135	7.0%	572	29.7%	682	35.4%	
Mississippi	290	8.9%	60	1.9%	1,105	34.3%	1,552	47.9%	
Missouri	422	20.9%	86	4.3%	514	25.5%	447	22.2%	
Montana	53	22.0%	3	1.2%	86	35.7%	63	26.1%	
Nebraska	52	13.5%	26	6.7%	67	17.4%	56	14.5%	
Nevada	116	13.3%	27	3.1%	288	31.1%	332	38.2%	
New Hampshire	95	13.7%	11	1.6%	224	32.3%	299	43.1%	
New Jersey	896	43.0%	200	9.6%	267	12.8%	180	8.6%	
New Mexico	58	10.1%	19	3.3%	184	31.9%	90	15.6%	
New York	2,484	45.0%	344	6.2%	632	11.5%	1,037	18.8%	
North Carolina	314	12.1%	105	4.0%	1,242	47.8%	806	31.0%	
North Dakota	123	15.3%	0	0.0%	108	13.4%	117	14.5%	
Ohio	974	36.0%	413	15.3%	482	17.8%	405	15.0%	
Oklahoma	332	15.6%	65	3.1%	683	32.1%	913	42.9%	
Oregon	267	21.2%	172	13.7%	147	11.7%	411	32.6%	
Pennsylvania	1,152	28.3%	345	8.5%	618	15.2%	638	15.7%	
Rhode Island	81	21.4%	6	1.6%	88	23.3%	158	41.8%	
South Carolina	290	15.0%	68	3.5%	958	49.6%	364	16.6%	
South Dakota	24	8.9%	1	40.0%	131	48.3%	84	31.0%	
Tennessee	309	14.5%	111	5.2%	519	24.3%	620	29.1%	
Texas	1,243	15.5%	232	2.7%	3,313	38.6%	2,438	28.4%	
Utah	168	16.6%	60	5.9%	121	12.0%	314	31.0%	
Vermont	72	18.2%	4	1.0%	42	10.6%	164	41.5%	
Virginia	590	16.7%	213	6.0%	420	11.9%	1,459	41.2%	
Washington	438	20.0%	46	2.1%	506	23.1%	711	32.4%	
West Virginia	250	28.4%	9	1.0%	280	31.9%	248	26.2%	
Wisconsin	147	22.3%	72	10.9%	143	21.7%	119	18.0%	
Wyoming	43	21.8%	5	2.5%	89	45.2%	23	11.7%	
Washington, DC	61	61.0%	19	19.0%	0	0.0%	0	0.0%	
Total**	23,007	22.4%	6,110	6.0%	26,581	25.9%	26,012	25.3%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total includes records for U.S. territories and Armed Forces locations overseas plus 459 reports for home health aides and 1,579 reports for non-nurse midwives.

Table M1 (continued): Comparison of the Percent of Reports by Practitioner Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2001)

State	Pharmacists and Assistants		Chiropractors		Non-Healthcare Practitioners		"Other Professionals"		Total Practitioner Reports**
	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	Number of Reports	Percent of State Reports	
Alabama	4	0.1%	27	0.9%	38	1.3%	57	2.0%	2,923
Alaska	19	5.3%	8	2.2%	7	2.0%	55	15.4%	356
Arizona	11	0.3%	128	3.6%	48	1.4%	167	4.7%	3,532
Arkansas	7	0.3%	14	0.6%	108	4.3%	31	1.2%	2,501
California	217	2.4%	627	7.0%	477	5.3%	900	10.1%	8,951
Colorado	97	4.1%	161	6.9%	65	2.8%	240	10.3%	2,339
Connecticut	52	2.9%	27	1.5%	65	3.7%	168	9.5%	1,763
Delaware	3	1.2%	1	0.4%	18	7.1%	7	2.8%	253
Florida	174	4.5%	157	4.1%	1,009	26.4%	495	12.9%	3,827
Georgia	21	0.8%	106	4.1%	148	5.7%	91	3.5%	2,577
Hawaii	13	7.6%	9	5.3%	6	3.5%	27	15.9%	170
Idaho	1	0.4%	20	8.3%	9	3.7%	6	2.5%	241
Illinois	531	13.9%	128	3.4%	268	7.0%	305	8.0%	3,808
Indiana	42	5.0%	12	1.4%	26	3.1%	78	9.3%	843
Iowa	2	0.2%	39	3.3%	16	1.3%	88	7.3%	1,199
Kansas	0	0.0%	56	8.0%	36	5.2%	40	5.7%	697
Kentucky	73	2.6%	23	0.8%	64	2.3%	47	1.7%	2,786
Louisiana	5	0.2%	24	0.8%	107	3.5%	26	0.8%	3,074
Maine	17	3.6%	9	1.9%	29	6.1%	59	12.4%	476
Maryland	14	0.9%	13	0.9%	79	5.3%	213	14.2%	1,499
Massachusetts	83	4.8%	77	4.5%	55	3.2%	129	7.5%	1,725
Michigan	653	12.4%	261	5.0%	104	2.0%	545	10.4%	5,255
Minnesota	3	0.2%	86	4.4%	36	1.8%	114	5.8%	1,964
Mississippi	81	2.3%	30	0.9%	156	4.5%	68	2.0%	3,459
Missouri	157	8.1%	85	4.4%	57	2.9%	151	7.8%	1,944
Montana	2	0.8%	6	2.5%	6	2.5%	22	9.0%	244
Nebraska	84	13.5%	14	2.3%	42	6.8%	59	9.5%	622
Nevada	6	0.7%	26	2.9%	26	2.9%	72	7.9%	910
New Hampshire	1	0.1%	8	1.2%	12	1.8%	13	1.9%	677
New Jersey	57	2.4%	102	4.3%	177	7.5%	369	15.7%	2,352
New Mexico	24	5.3%	7	1.5%	15	3.3%	17	3.7%	455
New York	333	5.3%	142	2.3%	437	7.0%	527	8.4%	6,257
North Carolina	9	0.3%	26	1.0%	28	1.1%	72	2.7%	2,640
North Dakota	2	0.5%	9	2.4%	3	0.8%	10	2.6%	380
Ohio	39	1.4%	56	2.0%	89	3.2%	246	9.0%	2,742
Oklahoma	1	0.0%	24	1.1%	31	1.4%	80	3.6%	2,248
Oregon	87	6.8%	85	6.6%	28	2.2%	62	4.8%	1,279
Pennsylvania	487	11.5%	173	4.1%	233	5.5%	429	10.1%	4,242
Rhode Island	6	1.4%	5	1.2%	25	5.9%	9	2.1%	423
South Carolina	16	0.8%	20	1.0%	53	2.7%	163	8.2%	1,987
South Dakota	0	0.0%	4	1.4%	7	2.5%	20	7.1%	282
Tennessee	11	0.5%	52	2.4%	131	6.0%	379	17.4%	2,174
Texas	154	1.7%	253	2.8%	310	3.4%	634	7.0%	8,994
Utah	67	6.4%	25	2.4%	12	1.1%	245	23.4%	1,047
Vermont	5	1.5%	5	1.5%	6	1.8%	21	6.3%	331
Virginia	562	15.5%	65	1.8%	82	2.3%	228	6.3%	3,636
Washington	80	3.6%	70	3.1%	57	2.5%	287	12.8%	2,243
West Virginia	1	0.1%	6	0.7%	26	3.1%	19	2.2%	848
Wisconsin	44	6.1%	48	6.6%	66	9.1%	61	8.4%	724
Wyoming	31	15.5%	0	0.0%	1	0.5%	5	2.5%	200
Washington, DC	1	0.9%	0	0.0%	11	10.4%	8	7.5%	106
Total**	4,391	4.1%	3,367	3.2%	4,984	4.7%	8,176	7.7%	106,355

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** The total number of practitioner reports includes 459 reports for home health aides and 1,579 reports for non-nurse midwives.

** The total includes records for U.S. territories and Armed Forces locations overseas

**Table M2: Number of Reports Concerning Individuals by Practitioner Type per 100,000 People and State*
(Healthcare Integrity Protection Data Bank, Cumulative Through December 31, 2001)**

State	State Population**	Number of Individual Reports per 100,000 People	Number of Physician Reports per 100,000 People	Number of Dentist Reports per 100,000 People	Number of RN Reports per 100,000 People	Number of LPN Reports per 100,000 People
Alabama	4,447,100	65.7	7.5	1.5	24.2	28.5
Alaska	626,932	56.8	13.6	3.7	10.7	13.7
Arizona	5,130,632	68.8	12.8	6.2	31.7	10.3
Arkansas	2,673,400	93.6	6.2	0.8	26.9	47.0
California	33,871,648	26.4	8.8	1.6	2.5	6.3
Colorado	4,301,261	54.4	10.6	6.1	12.0	11.2
Connecticut	3,405,565	51.8	8.7	3.8	12.7	15.3
Delaware	783,600	32.3	2.9	0.4	8.9	12.1
Florida	15,892,378	24.1	7.2	2.2	0.4	1.3
Georgia	8,186,453	31.5	3.9	1.4	14.9	2.8
Hawaii	1,211,537	14.0	4.9	1.0	1.9	0.5
Idaho	1,293,953	18.6	5.6	1.1	2.3	5.6
Illinois	12,419,293	30.7	6.0	2.3	5.7	6.5
Indiana	6,080,485	13.9	2.2	0.6	1.9	5.4
Iowa	2,926,324	41.0	11.2	2.8	9.4	11.0
Kansas	2,688,418	25.9	6.7	1.5	7.3	5.0
Kentucky	4,041,769	68.9	10.8	1.6	30.0	21.1
Louisiana	4,468,976	68.8	6.2	2.1	36.8	16.6
Maine	1,274,923	37.3	9.4	2.3	8.0	6.9
Maryland	5,296,486	28.3	11.1	3.3	3.2	2.8
Massachusetts	6,349,097	27.2	7.2	2.9	5.1	6.0
Michigan	9,938,444	52.9	11.6	4.0	13.3	6.1
Minnesota	4,919,479	39.9	6.0	2.7	11.6	13.9
Mississippi	2,844,658	121.6	10.2	2.1	38.8	54.6
Missouri	5,595,211	34.7	7.5	1.5	9.2	8.0
Montana	902,195	27.0	5.9	0.3	9.5	7.0
Nebraska	1,711,263	36.3	3.0	1.5	3.9	3.3
Nevada	1,998,257	45.5	5.8	1.4	14.4	16.6
New Hampshire	1,235,786	54.8	7.7	0.9	18.1	24.2
New Jersey	8,414,350	28.0	10.6	2.4	3.2	2.1
New Mexico	1,819,046	25.0	3.2	1.0	10.1	4.9
New York	18,976,457	33.0	13.1	1.8	3.3	5.5
North Carolina	8,049,313	32.8	3.9	1.3	15.4	10.0
North Dakota	642,200	59.2	19.2	0.0	16.8	18.2
Ohio	11,353,140	24.2	8.6	3.6	4.2	3.6
Oklahoma	3,450,654	65.1	9.6	1.9	19.8	26.5
Oregon	3,421,399	37.4	7.8	5.0	4.3	12.0
Pennsylvania	12,281,054	34.5	9.4	2.8	5.0	5.2
Rhode Island	1,048,319	40.4	7.7	0.6	8.4	15.1
South Carolina	4,012,012	49.5	7.2	1.7	23.9	9.1
South Dakota	754,844	37.4	3.2	0.1	17.4	11.1
Tennessee	5,689,283	38.2	5.4	2.0	9.1	10.9
Texas	20,851,820	43.1	6.0	1.1	15.9	11.7
Utah	2,233,169	46.9	7.5	2.7	5.4	14.1
Vermont	608,827	54.4	11.8	0.7	6.9	30.2
Virginia	7,078,515	51.4	8.3	3.0	5.9	20.6
Washington	5,894,675	38.1	7.4	0.8	8.6	12.1
West Virginia	1,808,344	46.9	13.8	0.5	15.5	13.7
Wisconsin	5,363,675	13.5	2.7	1.3	2.7	2.2
Wyoming	493,782	40.5	8.7	1.0	18.0	4.7
Washington, DC	572,059	18.5	10.7	3.3	0.0	0.0
Total***	281,332,460	37.8	8.2	2.2	9.4	9.2

1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** U.S. Department of Commerce, U.S. Census Bureau (Internet Release Date: December 28, 2000) Table 5: Resident Population of the 50 States, the District of Columbia, and Puerto Rico; April 1, 2000 (Census 2000)

*** The total includes reports for U.S. territories and Armed Forces locations overseas plus 459 reports for home health aides and 1,579 reports for non-nurse midwives.

**Table M2 (continued): Number of Reports Concerning Individuals by Practitioner Type per 100,000 People and State*
(Healthcare Integrity Protection Data Bank, Cumulative Through December 31, 2001)**

State	State Population**	Number of Pharmacist Reports per 100,000 People	Number of Chiropractor Reports per 100,000 People	Number of Non- Healthcare Practitioner Reports per 100,000 People	Number of "Other Professional" Reports per 100,000 People
Alabama	4,447,100	0.1	0.6	0.9	1.3
Alaska	626,932	3.0	1.3	1.1	8.8
Arizona	5,130,632	0.2	2.5	0.9	3.6
Arkansas	2,673,400	0.3	0.5	4.0	1.2
California	33,871,648	0.6	1.9	1.4	2.7
Colorado	4,301,261	2.3	3.7	1.5	5.6
Connecticut	3,405,565	1.5	0.8	1.9	4.9
Delaware	783,600	0.4	0.1	2.3	0.9
Florida	15,892,378	1.1	1.0	6.3	3.1
Georgia	8,186,453	0.3	1.3	1.8	1.1
Hawaii	1,211,537	1.1	0.7	0.5	2.2
Idaho	1,293,953	0.1	1.5	0.7	0.5
Illinois	12,419,293	4.3	1.0	2.2	2.5
Indiana	6,080,485	0.7	0.2	0.4	1.3
Iowa	2,926,324	0.1	1.3	0.5	3.0
Kansas	2,688,418	0.0	2.1	1.3	1.5
Kentucky	4,041,769	1.8	0.6	1.6	1.2
Louisiana	4,468,976	0.1	0.5	2.4	0.6
Maine	1,274,923	1.3	0.7	2.3	4.6
Maryland	5,296,486	0.3	0.2	1.5	4.0
Massachusetts	6,349,097	1.3	1.2	0.9	2.0
Michigan	9,938,444	6.6	2.6	1.0	5.5
Minnesota	4,919,479	0.1	1.7	0.7	2.3
Mississippi	2,844,658	2.8	1.1	5.5	2.4
Missouri	5,595,211	2.8	1.5	1.0	2.7
Montana	902,195	0.2	0.7	0.7	2.4
Nebraska	1,711,263	4.9	0.8	2.5	3.4
Nevada	1,998,257	0.3	1.3	1.3	3.6
New Hampshire	1,235,786	0.1	0.6	1.0	1.1
New Jersey	8,414,350	0.7	1.2	2.1	4.4
New Mexico	1,819,046	1.3	0.4	0.8	0.9
New York	18,976,457	1.8	0.7	2.3	2.8
North Carolina	8,049,313	0.1	0.3	0.3	0.9
North Dakota	642,200	0.3	1.4	0.5	1.6
Ohio	11,353,140	0.3	0.5	0.8	2.2
Oklahoma	3,450,654	0.0	0.7	0.9	2.3
Oregon	3,421,399	2.5	2.5	0.8	1.8
Pennsylvania	12,281,054	4.0	1.4	1.9	3.5
Rhode Island	1,048,319	0.6	0.5	2.4	0.9
South Carolina	4,012,012	0.4	0.5	1.3	4.1
South Dakota	754,844	0.0	0.5	0.9	2.6
Tennessee	5,689,283	0.2	0.9	2.3	6.7
Texas	20,851,820	0.7	1.2	1.5	3.0
Utah	2,233,169	3.0	1.1	0.5	11.0
Vermont	608,827	0.8	0.8	1.0	3.4
Virginia	7,078,515	7.9	0.9	1.2	3.2
Washington	5,894,675	1.4	1.2	1.0	4.9
West Virginia	1,808,344	0.1	0.3	1.4	1.1
Wisconsin	5,363,675	0.8	0.9	1.2	1.1
Wyoming	493,782	6.3	0.0	0.2	1.0
Washington, DC	572,059	0.2	0.0	1.9	1.4
Total***	281,332,460	1.6	1.2	1.8	2.9

* This table includes only disclosable reports in the HIPDB as of December 31, 2001. All HIPDB reports were submitted by entities in 1999, 2000, and 2001, including all retroactive reports for actions taken prior to 1999. Reports include adverse action reports, including State Licensure Action, Federal Licensure and Drug Enforcement Administration Action, Health Plan Action, and Exclusion reports.

** U.S. Department of Commerce, U.S. Census Bureau (Internet Release Date: December 28, 2000) Table 5: Resident Population of the 50 States, the District of Columbia, and Puerto Rico; April 1, 2000 (Census 2000)

*** The total includes reports for U.S. territories and Armed Forces locations overseas plus 459 reports for home health aides and 1,579 reports for non-nurse midwives.